

# Functional Nets

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## What's a Functional Net?

- Functional nets arise out of a fusion of key ideas of functional programming and Petri nets.
- Functional programming: Rewrite-based semantics with function application as the fundamental computation step.
- Petri nets: Synchronization by waiting until all of a given set of inputs is present, where in our case

input = function application.

- A functional net is a concurrent, higher-order functional program with a Petri-net style synchronization mechanism.
- Theoretical foundation: Join calculus.

## Thesis of this Talk

Functional nets are a simple, intuitive model

of  $\left\{ \begin{array}{l} \text{imperative} \\ \text{functional} \\ \text{concurrent} \end{array} \right\}$  programming.

Functional nets combine well with OOP.

## Elements

Functional nets have as elements:

- functions
- objects
- parallel composition

They are presented here as a calculus and as a programming notation.

Calculus: (Object-based) join calculus

Notation: Funnel<sup>1</sup> (alternatives are Join or JoCAML)

<sup>1</sup> "Funnel" is still named "Silk" in the paper; we changed the name because of the similarity in pronunciation to "Cilk", the concurrent C dialect.

## The Principle of a Funnel

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## Stage 1: Functions

- A simple function definition:

```
def gcd (x, y) =  
  if (y == 0) x  
  else gcd (y, x % y)
```
- Function definitions start with **def**.
- Operators as in *C/Java*.
- Usage:

```
val x = gcd (a, b)  
print (x * x)
```
- Call-by-value: Function arguments and right-hand sides of **val** definitions are always evaluated.

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## Stage 2: Objects

- One often groups functions to form a single value. Example:

```
def makeRat (x, y) = {
  val g = gcd (x, y)
  { def numer = x / g
    def denom = y / g
    def add r = makeRat (
      numer * r.denom + r.numer * denom,
      denom * r.denom)
    ...
  }
}
```

- This defines a record with functions numer, denom, add, ...
- We identify: Record = Object, Function = Method
- For convenience, we admit parameterless functions such as numer.

## Functions + Objects Give Algebraic Types

- Functions + Records can encode algebraic types
  - Church Encoding
  - Visitor Pattern
- Example: Lists are represented as records with a single method, match.
- match takes as parameter a *visitor* record with two functions:
 

```
{ def Nil = ...
  def Cons (x, xs) = ... }
```
- match invokes the Nil method of its visitor if the List is empty, the Cons method if it is nonempty.

## Lists

- Here is an example how match is used.

```
def append (xs, ys) =
  xs.match {
    def Nil = ys
    def Cons (x, xs1) = List.Cons (x, append (xs1, ys))
  }
```

- It remains to explain how lists are constructed.

## Lists

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```
def append (xs, ys) =
  xs.match {
    def Nil = ys
    def Cons (x, xs1) = List.Cons (x, append (xs1, ys))
  }
```

- It remains to explain how lists are constructed.
- We wrap definitions for Nil and Cons constructors in a List "module". They each have the appropriate implementation of match.

```
val List = {
  def Nil          = { def match v = ??? }
  def Cons (x, xs) = { def match v = ??? }
}
```

## Lists

- Here is an example how match is used.

```
def append (xs, ys) =
  xs.match {
    def Nil = ys
    def Cons (x, xs1) = List.Cons (x, append (xs1, ys))
  }
```

- It remains to explain how lists are constructed.
- We wrap definitions for Nil and Cons constructors in a List "module". They each have the appropriate implementation of match.

```
val List = {
  def Nil      = { def match v = v.Nil }
  def Cons (x, xs) = { def match v = v.Cons (x, xs) }
}
```

## Stage 3: Concurrency

- Principle :

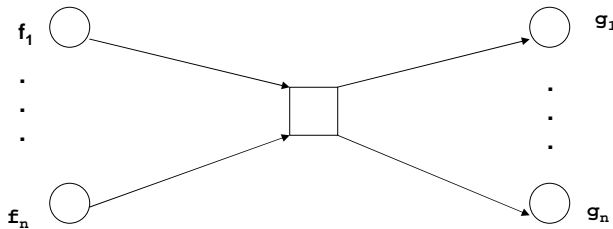
- Function calls model *events*.
- & means *conjunction* of events.
- = means left-to-right rewriting.
- & can appear on the right hand side of a = (*fork*) as well as on the left hand side (*join*).

- Analogy to Petri-Nets :

call        ≈     place  
equation ≈     transition

$$f_1 \& \dots \& f = g_1 \& \dots \& g_n$$

corresponds to



- Functional Nets are more powerful:
  - parameters,
  - nested definitions,
  - higher order.

### Example : One-Place Buffer

Functions : put, get (external)  
 empty, full (internal)

Definitions :

```
def put x & empty = () & full x
    get & full x = x & empty
```

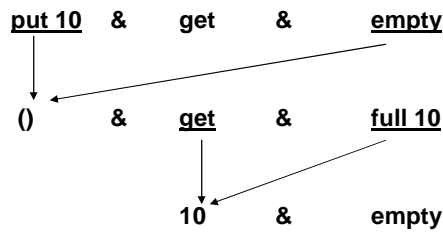
Usage :

```
val x = get ; put (sqrt x)
```

- An equation can now define more than one function.

## Rewriting Semantics

- A set of calls which matches the left-hand side of an equation is replaced by the equation's right-hand side (after formal parameters are replaced by actual parameters).
- Calls which do not match a left-hand side block until they form part of a set which does match.
- Example:



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## Objects and Joins

- We'd like to make a constructor function for one-place buffers.
- We could use tuples of methods:
 

```
def newBuffer = {
  def put x & empty = () & full x,
    get & full x = x & empty
  (put, get) & empty
}
val (bput, bget) = newBuffer ; ...
```
- But this quickly becomes cumbersome as number of methods grows.
- Usual record formation syntax is also not suitable
  - we need to hide function symbols
  - we need to call some functions as part of initialization.

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## Qualified Definitions

- Idea: Use qualified definitions:

```
def newBuffer = {
  def this.put x & empty = () & full x,
    this.get & full x = x & empty
  this & empty
}
val buf = newBuffer ; ...
```

- Three names are defined in the local definition:

this	- a record with two fields, get and put.
empty	- a function
full	- a function

- this is returned as result from newBuffer; empty and full are hidden.

- The choice of this as the name of the record was arbitrary; any other name would have done as well.
- We retain a conventional record definition syntax as an abbreviation, by inserting implicit prefixes. E.g.

```
{ def numer = x / g
  def denom = y / g }
```

is equivalent to

```
{ def r.numer = x / g, r.denom = y / g ; r }
```

## Mutable State

- A *variable* (or reference cell) with functions

```

read, write      (external)
state            (internal)
    
```

is created by the following function:

```

def newRef init = {
  def this.read & state x = x & state x,
    this.write y & state x = () & state y
  this & state init
}
    
```

- Usage:

```

val r = newRef 0 ; r.write (r.read + 1)
    
```

## Stateful Objects

- An object with *methods*  $m_1, \dots, m_n$  and *instance variables*  $x_1, \dots, x_k$  can be expressed such :

```

def this.m1 & state (x1, ..., xk) = ... ; state (y1, ..., yk),
      :
      :
      this.mn & state (x1, ..., xk) = ... ; state (z1, ..., zk);

this & state (init1, ..., initk)
  ↙           ↘
« Result »   « initial state »
    
```

- The encoding enforces *mutual exclusion*, makes the object into a *monitor*.

## Synchronization

- Functional nets are very good at expressing many process synchronization techniques.
- Example: Readers/Writers Synchronization.
- Specification: Implement operations `startRead`, `startWrite`, `endRead`, `endWrite` such that:
  - there can be multiple concurrent reads,
  - there can be only one write at one time,
  - reads and writes are mutually exclusive,
  - pending write requests have priority over pending reads, but don't preempt ongoing reads.

## First Version

- Introduce two auxiliary state functions
  - readers  $n$  - the number of *active* reads
  - writers  $n$  - the number of *pending* writes
- Equations:
 

```

def startRead & writers 0 = startRead1,
  startRead1 & readers n = () & writers 0 & readers (n+1),

  startWrite & writers n = startWrite1 & writers (n+1),
  startWrite1 & readers 0 = (),

  endRead & readers n = readers (n-1),
  endWrite & writers n = writers (n-1) & readers 0

readers 0 & writers 0
            
```
- Note the almost-symmetry between `startRead` and `startWrite`, which reflects the different priorities of readers and writers.

## Final program

- The previous program was is not yet legal Funnel since it contained numeric patterns.
- We can get rid of value patterns by partitioning state functions.

```

def startRead & noWriters = startRead1,
    startRead1 & noReaders = () & noWriters & readers 1,
    startRead1 & readers n = () & noWriters & readers (n+1),

    startWrite & noWriters = startWrite1 & writers 1,
    startWrite & writers n = startWrite1 & writers (n+1),
    startWrite1 & noReaders = (),

    endRead & readers n = if (n == 1) noReaders else (readers (n-1)),
    endWrite & writers n = noReaders &
      ( if (n == 1) noWriters else writers (n-1) )

noWriters & noReaders

```

## Summary : Concurrency

- Functional nets support an event-based model of concurrency.
- Channel based formalisms such as *CCS*, *CSP* or  $\pi$  - Calculus can be easily encoded.
- High-level synchronization à la Petri-nets.
- Takes work to map to instructions of hardware machines.
- Options:
  - Search patterns linearly for a matching one,
  - Construct finite state machine that recognizes patterns,
  - others?

## Foundations

- We now develop a formal model of functional nets.
- The model is based on an adaptation of join calculus (Fournet & Gonthier 96)
- Two stages: sequential, concurrent.

## A Calculus for Functions and Objects

- Name-passing, continuation passing calculus.
- Closely resembles intermediate language of FPL compilers.

Syntax:

Names	$x, y, z$	
Identifiers	$i, j, k$	$::= x \mid i.x$
Terms	$M, N$	$::= i \mid j \mid \mathbf{def} D ; M$
Definitions	$D$	$::= L = M \mid D, D \mid 0$
Left-hand Sides	$L$	$::= i \mid x$

Reduction:

$$\mathbf{def} D, i \mid x = M ; \dots i \mid j \dots \rightarrow \mathbf{def} D, i \mid x = M ; \dots [j/x] M \dots$$

## A Calculus for Functions and Objects

- The ... .. dots are made precise by a *reduction context*.
- Same as Felleisen's evaluation contexts but there's no evaluation here.

Syntax:

Names	$x, y, z$	
Identifiers	$i, j, k$	$::= x \mid i.x$
Terms	$M, N$	$::= i \mid j \mid \mathbf{def} D ; M$
Definitions	$D$	$::= L = M \mid D, D \mid 0$
Left-hand Sides	$L$	$::= i \mid x$
Reduction Contexts	$R$	$::= [ ] \mid \mathbf{def} D ; R$

Reduction:

$$\mathbf{def} D, i \mid x = M ; R[ i \mid j ] \rightarrow \mathbf{def} D, i \mid x = M ; R[ [j/x]M ]$$

## Structural Equivalence

- Alpha renaming
- Comma is AC, with the empty definition 0 as identity

$$\begin{aligned} D_1, D_2 &\equiv D_2, D_1 \\ D_1, (D_2, D_3) &\equiv (D_1, D_2), D_3 \\ 0, D &\equiv D \end{aligned}$$

## Properties

- Name-passing calculus - every value is a (qualified) name.
- Mutually recursive definitions are built in.
- Functions with results are encoded via a CPS transform (see paper).
- Value definitions can be encoded:

$$\mathbf{val} \ x = M ; N \quad \equiv \quad \mathbf{def} \ k \ x = N ; k \ M$$

- Tuples can be encoded:

$$f \ (i, j) \quad \equiv \quad (\mathbf{def} \ ij.fst () = i, ij.snd () = j ; f \ ij)$$

$$f \ (x, y) = M \quad \equiv \quad f \ xy = (\mathbf{val} \ x = xy.fst () ; \mathbf{val} \ y = xy.snd () ; M)$$

## A Calculus for Functions, Objects and Concurrency

Syntax:

Names	$x, y, z$
Identifiers	$i, j, k ::= x \mid i.x$
Terms	$M, N ::= i \ j \mid \mathbf{def} \ D ; M \mid M \ \& \ M$
Definitions	$D ::= L = M \mid D, D \mid O$
Left-hand Sides	$L ::= i \ x \mid L \ \& \ L$
Reduction Contexts	$R ::= [ ] \mid \mathbf{def} \ D ; R \mid R \ \& \ M \mid M \ \& \ R$

Reduction:

$$\mathbf{def} \ D, i_1 \ x_1 \ \& \ \dots \ \& \ i_n \ x_n = M ; R \ [i_1 \ j_1 \ \& \ \dots \ \& \ i_n \ j_n]$$

$$\rightarrow \mathbf{def} \ D, i_1 \ x_1 \ \& \ \dots \ \& \ i_n \ x_n = M ; R \ [[j_1/x_1, \dots, j_n/x_n] \ M]$$

## Structural Equivalence

- Alpha renaming
- Comma is AC, with the empty definition 0 as identity:
- & is AC:

$$\begin{array}{lcl}
 M_1, M_2 & \equiv & M_2, M_1 \\
 M_1, (M_2, M_3) & \equiv & (M_1, M_2), M_3
 \end{array}$$

- Scope Extrusion:

$$(\mathbf{def} D ; M) \& N \equiv \mathbf{def} D ; M \& N$$

## Relation to Join Calculus

- Strong connections to join calculus.
  - Polyadic functions
  - + Records, via qualified definitions and accesses.
- Formulated here as a rewrite system, whereas original join uses a reflexive CHAM.
- The two formulations are equivalent.



## Conclusions

- Functional nets provide a simple, intuitive way to think about functional, imperative, and concurrent programs.
- They are based on join calculus.
- Mix-and-match approach: functions (+objects) (+concurrency).
- Close connections to
  - sequential FP (a subset),
  - Petri-nets (another subset),
  - $\pi$ -Calculus (can be encoded easily).
- Functional nets admit a simple expression of object-oriented concepts.

## State of Work

### Done :

- Design of Funnel,
- experimental Hindley/Miler style type system,
- First, dynamically typed, implementation (available from <http://lampwww.epfl.ch>).

### • Current :

- More powerful type System,
- Efficient compilation strategies,
- Encoding of objects
- Funnel as a composition language in a Java environment.
  
- Collaborators : Philippe Altherr, Matthias Zenger,  
Christoph Zenger (EPFL)  
Stewart Itzstein (Uni South Australia).