

Type Systems

Lecture 9 Dec. 15th, 2004
Sebastian Maneth

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Today Parametric Polymorphism

1. Recall Let-Polymorphism
2. System F
3. Properties of System F
4. System F-sub
5. Properties of F-sub

1. Recall Let-Polymorphism

In simply-typed lambda-calculus, we can leave out ALL type annotations:

- insert new type variables
- do **type reconstruction** (using unification)

In this way, changing the let-rule, we obtain

Let-Polymorphism

- Simple form of polymorphism
- Introduced by [Milner 1978] in ML
- also known as Damas-Milner polymorphism
- in ML, basis of powerful *generic libraries*
(e.g., lists, arrays, trees, hash tables, ...)

1. Recall Let-Polymorphism

$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash [x \rightarrow t_1] t_2 : T_2}{\Gamma \vdash \text{let } x = t_1 \text{ in } t_2 : T_2}$$

```
let double = λx.λy. x(x(y)) in
{
  let a = double (λx:int. x+2) 2 in {
    let b = double (λx:bool. x) false in {...}
  }
}
```

CAN be typed now!! Because the new let rule creates two copies of double, and the rule for abstraction assigns a *different* type variable to each one.

1. Recall Let-Polymorphism

Limits of Let-Polymorphism?

- Only let-bound variables can be used polymorphically!
- NOT lambda-bound variables

Ex.: `let f = λg. ... g(1) ... g(true) ...
in { f(λx.x) }`

is not typable: when typechecking the def. of f, g has type X (fresh)
Which is then constrained by $X = \text{int} \rightarrow Y$ and $X = \text{bool} \rightarrow Z$.

Functions cannot take polymorphic functions as parameters.

(= no polymorphic arguments!)

2. System F

Aka **polymorphic lambda-calculus** or **second-order lambda-calculus**.

- do lambda-abstraction over **type variables**,
define functions over types

Invented by

- Girard (1972) motivated by logics
- Reynolds (1974) motivated by programming.

2. System F

Aka polymorphic lambda-calculus or second-order lambda-calculus.

- Add (universal) quantification over TYPES!
- Straightforward extension of simply typed lambda-calculus by two new constructs:

Type Abstraction: $\lambda X. t$
Type Application: $t [T]$

For example, the **polymorphic identity function**

`id = λX. λx:X. x`

2. System F

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- Add (universal) quantification over TYPES!
- Straightforward extension of simply typed lambda-calculus by two new constructs:

Type Abstraction: $\lambda X. t$
Type Application: $t [T]$

For example, the **polymorphic identity function**

`id = λX. λx:X. x`

can be applied to **Nat** by writing `id [Nat]`. The result is

`[X/Nat](λx:X. x) = λx:Nat.x`

2. System F

What is the type of

$id = \lambda X. \lambda x:X. x$

→ If applied to a type T , id yields function of type $T \rightarrow T$.

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What is the type of

$id = \lambda X. \lambda x:X. x$

→ If applied to a type T , id yields function of type $T \rightarrow T$.

Therefore denote its type by: $\forall X. X \rightarrow X$

Typing rules for type abstraction and type application:

$$\frac{\Gamma, X \vdash t_2 : T_2}{\Gamma \vdash \lambda X. t_2 : \forall X. T_2}$$

$$\frac{\Gamma \vdash t_1 : \forall X. T_{12}}{\Gamma \vdash t_1 [T_2] : [X/T_2] T_{12}}$$

2. System F

Evaluation, like simply typed lambda (3 rules), plus two new rules:

$$\frac{t_1 \rightarrow t_2'}{t_1 [T_2] \rightarrow t_2' [T_2]} \quad (\lambda X. t_{12}) [T_2] \rightarrow [X/T_2] t_{12}$$

Values (in the pure system) are

- $\lambda x:T. t$ abstraction value
- $\lambda X. t$ type abstraction value

Contexts contain $x:T$ term variable binding
and X type variable binding

2. System F

Examples.

Polymorphic identity function: $id = \lambda X. \lambda x:X. x$

Apply it:

```
id [Nat] 5
= (λX.λx:X. x) [Nat] 5
→ [X/Nat](λx:x. x) 5
→ (λx:Nat. x) 5
→ [x/5](x)
→ 5
```

As we saw, the type of id is $\forall X. X \rightarrow X$.

Can you find a function different from id , with the SAME TYPE??

2. System F

Examples.

Polymorphic doubling function:

$$\text{double} = \lambda x. \lambda f: X \rightarrow X. \lambda a: X. f (f a)$$

$\text{double } [\text{Nat}] (\lambda x: \text{Nat}. \text{succ}(\text{succ}(x))) 3$
 $\rightarrow 7$

$$\text{quadruple} = \lambda x. \text{double } [X \rightarrow X] (\text{double } [X])$$

What's the **type** of **quadruple**?

2. System F

Examples.

In simply typed lambda-calculus

$$\text{omega} = (\lambda x. x x) (\lambda x. x x)$$

canNOT be typed!

Neither can the self-application fragment $(\lambda x. x x)$
In System-F we CAN type it:

$$\text{self} = \lambda x: (\forall X. X \rightarrow X). x [\forall X. X \rightarrow X] x$$
$$\text{self}: (\forall X. X \rightarrow X) \rightarrow (\forall X. X \rightarrow X)$$

2. System F

Examples.

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$$\text{self} = \lambda x: (\forall X. X \rightarrow X). x [\forall X. X \rightarrow X] x$$
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Apply **self** to some function; e.g., to $(\lambda Y. \lambda y: Y. y)$

Polym. Fu's are "first class citizen"

2. System F

Main advantage of polymorphism:

\rightarrow many things need not be built into the language, but
can be moved into **libraries**

Example. Lists.

Before:

For a type T: **List** T describes finite-length lists of elements from T.

New syntactic forms:

$\text{nil}[T]$	$t1$	$t2$
$\text{cons}[T]$	t	t
$\text{isnil}[T]$	t	
$\text{head}[T]$	t	
$\text{tail}[T]$	t	

2. System F

Main advantage of polymorphism:

→ many things need not be built into the language, but can be moved into **libraries**

Example. Lists.

Now:

`List X` describes finite-length lists of elements of type `X`.

New syntactic forms: `nil: ∀X. List X`
`cons: ∀X. X → List X → List X`
`isnil: ∀X. List X → Bool`
`head: ∀X. List X → X`
`tail: ∀X. List X → List X`

2. System F

Now we can build a library of polymorphic operations on lists. For example, a polymorphic `map` function.

```
map = λX.λY.  
      λf:X→Y.  
      (fix (λm:List X → List Y.  
            λl:List X.  
              if isnil[X] 1 then nil[Y]  
                else cons[Y](f (head[X] 1))  
                            (m (tail[X] 1))))))
```

What is the type of `map`?

2. System F

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                            (m (tail[X] 1))))))
```

What is the type of `map`?

`1 = cons[Nat] 4 (cons[Nat] 3 (cons[Nat] 2 (nil[Nat])))`

`head[Nat](map[Nat][Nat] (λx:Nat. succ x) 1)`
→5

3. Properties of System F

System F is *impredicative*:

→ Polymorphic types are universally quantified over the universe of ALL types. This includes polymorphic types themselves!

→ Polymorphic types are "1st class" citizens in the world of types

E.g. `(λf: (∀X.X→X). f) id`

ML (let) – polymorphisms is *predicative*:

→ Polymorphic types are 2nd class. Arguments do not have polymorphic types! (prenex polymorphism)

E.g. `(fn f => fn x => f x) id 3`

3. Properties of System F

System F is *impredicative*:

→ Polymorphic types are universally quantified over the universe of ALL types. This includes polymorphic types themselves!

→ Polymorphic types are "1st class" citizens in the world of types

E.g. $(\lambda f: (\forall X.X \rightarrow X). f) \text{ id}$ → Type variables range only over quantifier-free types (*monotypes*)
→ Quantified types (*polytypes*, or *type schemes*) not allowed on left of arrow

ML (let) – polymorphims is *predicative*:

→ Polymorphic types are 2nd class. Arguments do not have polymorphic types! prenex polymorphism

E.g. $(\text{fn } f \Rightarrow \text{fn } x \Rightarrow f \ x) \text{ id } 3$

3. Properties of System F

Parametricity

Evaluation of polymorphic applications does not depend on the type that is supplied!

→ There is exactly one function of type $\forall X.X \rightarrow X$ (namely, the identity)

→ There are exactly two functions of type $\forall X.X \rightarrow X \rightarrow X$ which have different behavior. Namely, $\lambda X.\lambda a:X.\lambda b:X. a$ and $\lambda X.\lambda a:X.\lambda b:X. b$

These do not (and cannot) alter their behavior depending on X!

3. Properties of System F

Parametricity

Evaluation of polymorphic applications does not depend on the type that is supplied!

Nevertheless, we defined a *type-passing semantics*:

$$(\lambda X.t_{12}) [T_2] \rightarrow [X/T_2]t_{12}$$

Why do this, if eval. does not depend on it?

→ type-erasure semantics:

After the typechecking phase, all types are erased!

Erasure and Type Reconstruction

```
erase(x) = x
erase( $\lambda x:T.t$ ) =  $\lambda x.$ erase(t)
erase(t t') = erase(t) erase(t')
erase( $\lambda x.t$ ) = erase(t)
erase(t [T]) = erase(t)
```

Theorem. (Wells, 1994): Let u be a closed term. It is undecidable, whether there is a well-typed system-F-term t with $\text{erase}(t)=u$.

→ Type Reconstruction for system F is not possible!

Erasure and Type Reconstruction

Even if we leave intact all typing annotations, except the arguments to type applications:

```
perase(x) = x
perase( $\lambda x:T.t$ ) =  $\lambda x:T.$ perase(t)
perase(t t') = perase(t) perase(t')
perase( $\lambda x.t$ ) =  $\lambda x.$ perase(t)
perase(t [T]) = perase(t) []
```

Then Type Reconstruction is still not possible!

Theorem. (Boehm, 1989): Let u be a closed term. It is undecidable, whether there is a well-typed system-F-term t with $\text{perase}(t)=u$.

Erasure and Evaluation

```
erase(x) = x
erase( $\lambda x:T.t$ ) =  $\lambda x.$ erase(t)
erase(t t') = erase(t) erase(t')
erase( $\lambda x.t$ ) = erase(t)
erase(t [T]) = erase(t')
```

We claimed that if t is well-typed, then t and $\text{erase}(t)$ evaluate to the same.

Is this also true in the presence of side effects??

What about

```
let f = ( $\lambda x.$ error) in 0
```

Erasure and Evaluation

```
erase(x) = x
erase( $\lambda x:T.t$ ) =  $\lambda x.$ erase(t)
erase(t t') = erase(t) erase(t')
erase( $\lambda x.t$ ) =  $\lambda \_.$ erase(t)
erase(t [T]) = erase(t') dummyv
```

We claimed that if t is well-typed, then t and $\text{erase}(t)$ evaluate to the same.

Is this also true in the presence of side effects??

NO! --- but can be fixed easily.

3. Properties of System F

Uniqueness

Every well-typed System-F-term has exactly one type.

Preservation

If $t \vdash t:T$ and $t \rightarrow t'$, then $\Gamma \vdash t':T$.

Progress

If t is a closed and well-typed term, then either
 t is a value, or
 $t \rightarrow t'$ for some term t' .

Proofs: straightforward induction on the structure of terms.

3. Properties of System F

Normalization

Every well-typed System-F-term t is normalizing, i.e.,

$$\exists t' : t \rightarrow^* t' \not\rightarrow$$

Proof: very hard (Girard's PhD thesis, 1972)
→ later simplified to about 5 pages

Surprising: normalization holds even though MANY things can be coded in System F!

Can the (erased) term $(\lambda x. x \ x) (\lambda x. x \ x)$ be typed in System F?

3. Properties of System F

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Surprising: normalization holds even though MANY things can be coded in System F!

Can the (erased) term $(\lambda x. x \ x) (\lambda x. x \ x)$ be typed in System F?

→ This can even be proved directly! Do EXERCISE 23.6.3 in TAPL!

3. Properties of System F

When is (partial) type reconstruction possible??

- First-class existential types (e.g., using ML's datatype mechanism)
- Add to that universal quantifiers which may appear in annotations of function arguments

In the presence of subtyping:

- Local type inference

4. System F-Sub

Want to combine subtyping and polymorphism.

How?

$f = \lambda x : \{a : \text{Nat}\}. x \quad : \{a : \text{Nat}\} \rightarrow \{a : \text{Nat}\}$

$f \{a=0\} \rightarrow \{a=0\} : \{a : \text{Nat}\}$ (works in any system)

$f \{a=0, b=true\} \rightarrow \{a=0, b=true\} : \{a : \text{Nat}\}$ (using the subsumption rule)

↑
result type has no b field!

$(f \{a=0, b=true\}).b$ is ill-typed.
→ we cannot access the b field anymore!!

4. System F-Sub

Use polymorphic identity `fpoly` instead of `f`:

```
f = λx:{a:Nat}. x : {a:Nat} → {a:Nat}
```

```
fpoly = λX. λx:X. x : (∀X.X→X)
```

4. System F-Sub

Use polymorphic identity `fpoly` instead of `f`:

```
f = λx:{a:Nat}. x : {a:Nat} → {a:Nat}
```

```
fpoly = λX. λx:X. x : (∀X.X→X)
```

```
fpoly [{a:Nat, b:Bool}] {a=0, b=true}
```

```
→ {a=0, b=true} : {a:Nat, b:Bool}
```

HURRA!

4. System F-Sub

```
f2 = λx:{a:Nat}. {orig=x, asucc=succ(x.a)}
```

Has type `{a:Nat} → {orig:{a:Nat}, asucc:Nat}`

```
fpoly = λX. λx:X. x
```

```
f2poly = λX. λx:X. {orig=x, asucc=succ(x.a)};
```

4. System F-Sub

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f2 = λx:{a:Nat}. {orig=x, asucc=succ(x.a)}
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Has type `{a:Nat} → {orig:{a:Nat}, asucc:Nat}`

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fpoly = λX. λx:X. x
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f2poly = λX. λx:X. {orig=x, asucc=succ(x.a)};
```

Type Error: Expected Record Type

4. System F-Sub

$f2 = \lambda x:\{a:\text{Nat}\}. \{orig=x, asucc=succ(x.a)\}$

Has type $\{a:\text{Nat}\} \rightarrow \{orig:\{a:\text{Nat}\}, asucc:\text{Nat}\}$

$fpoly = \lambda x. \lambda x:X. x$

$f2poly = \lambda x. \lambda x:X. \{orig=x, asucc=succ(x.a)\};$

Type Error: Expected Record Type

f2 should take ANY record type, which has at least the field a: Nat.

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Type Error: Expected Record Type

f2 should take ANY record type, which has at least the field a: Nat.
= any subtype of $\{a:\text{Nat}\}$

$x<:\{a:\text{Nat}\}$

4. System F-Sub

$f2 = \lambda x:\{a:\text{Nat}\}. \{orig=x, asucc=succ(x.a)\}$

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4. System F-Sub

Bounded Quantification

$f2poly = \lambda x<:\{a:\text{Nat}\}. \lambda x. \{orig=x, asucc=succ(x.a)\};$

System $F_{<}$

type abstraction: $\lambda X<:T$

quantified type: $\forall X<:T. T$

In contexts we now have $\Gamma, x:T, X<:T$

Evaluation (nothing changes): $(\lambda X<:T. t_{12})[T_2] \rightarrow [X/T_2]t_{12}$

Typing rules for type abstraction and type application:

$$\frac{\Gamma, X<:T \vdash t_2:T_2}{\Gamma \vdash \lambda X<:T. t_2 : \forall X<:T. T_2} \quad \frac{\Gamma \vdash t_1 : \forall X<:T_{11}. T_{12} \quad \Gamma \vdash T_2<:T_{11}}{\Gamma \vdash t_1[T_2] : [X/T_2]T_{12}}$$

4. System F-Sub

Bounded Quantification

f2poly = $\lambda x<:\{a:\text{Nat}\}. \lambda x. \{ \text{orig}=x, \text{asucc}=\text{succ}(x.a) \};$

System F_<

type abstraction: $\lambda X<:T$
quantified type: $\forall X<:T. T$
In contexts we now have $\Gamma, x:T, X<:T$

Evaluation (nothing changes): $(\lambda X<:T. t_{12})[T_2] \rightarrow [X/T_2]t_{12}$

Typing rules for type abstraction and type application:

$$\frac{\Gamma, X<:T \vdash t_2:T_2}{\Gamma \vdash \lambda X<:T. t_2 : \forall X<:T. T_2} \quad \frac{\Gamma \vdash t_1 : \forall X<:T_{11}. T_{12} \quad \Gamma \vdash T_2 <: T_{11}}{\Gamma \vdash t_1[T_2] : [X/T_2]T_{12}}$$

subtyping

4. System F-Sub

Unbounded Quantification: $\forall X. T := \forall X<:\text{Top}. T$

Subtyping Quantified Types:

$$\frac{\Gamma, X<:U_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X<:U_1. S_2 <: \forall X<:U_1. T_2}$$

"the kernel rule"

→ "kernel F-sub"

4. System F-Sub

Scoping:

$\Gamma_1 = X<:\text{Top}, y:X\text{-Nat}$

$\Gamma_2 = y:X\text{-Nat}, X<:\text{Top}$

$\Gamma_3 = X<:\{a:\text{Nat}, b:X\}$

$\Gamma_4 = X<:\{a:\text{Nat}, b:Y\}, Y<:\{c:\text{Bool}, d:X\}$

which of these contexts are **not well-scoped**?

4. System F-Sub

Scoping:

$\Gamma_1 = X<:\text{Top}, y:X\text{-Nat}$

$\Gamma_2 = y:X\text{-Nat}, X<:\text{Top}$

$\Gamma_3 = X<:\{a:\text{Nat}, b:X\}$

$\Gamma_4 = X<:\{a:\text{Nat}, b:Y\}, Y<:\{c:\text{Bool}, d:X\}$

which of these contexts are **not well-scoped**?

4. System F-Sub

Scoping:

$\Gamma_1 = X<:\text{Top}, y:X\text{-Nat}$

$\Gamma_2 = y:X\text{-Nat}, X<:\text{Top}$

$\Gamma_3 = X<:\{a:\text{Nat}, b:X\}$

$\Gamma_4 = X<:\{a:\text{Nat}, b:Y\}, Y<:\{c:\text{Bool}, d:X\}$

Which of these contexts are **not well-scoped**?

$\lambda X<:\{a:\text{Nat}, b:X\}$

$X<:\{a:\text{Nat}, b:\{a:\text{Nat}, b:\text{Top}\}\}$

→ "F-bounded Quantification"

4. System F-Sub

F-Bounded Quantification:

→ used in GJ design

→ more complex than F-sub,

And "it only becomes really interesting when recursive types are also included .."

.. No non-recursive type can satisfy $X<:\{a:\text{Nat}, b:X\}$ "

4. System F-Sub

→ In kernel F-sub, two quantified types can only be compared if their upper bounds are identical.

Similar to restricting the arrow rule

$$\frac{S_2 <: T_2}{U \rightarrow S_2 <: U \rightarrow T_2}$$

$$\frac{\Gamma, X<:U_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X<:U_1. S_2 <: \forall X<:U_1. T_2}$$

4. System F-Sub

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Similar to restricting the arrow rule

$$\frac{S_2 <: T_2}{U \rightarrow S_2 <: U \rightarrow T_2}$$

$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X<:T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X<:S_1. S_2 <: \forall X<:T_1. T_2}$$

→ "full F-sub"

4. System F-Sub

Which types are related by the subtype relation
of full F-sub, but NOT in kernel F-sub???

$$\frac{\Gamma, X <: U_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: U_1. S_2 <: \forall X <: U_1. T_2}$$

$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

4. System F-Sub

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$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

→ Are there any USEFUL ones???

5. Properties of F-Sub

Preservation

If $t \vdash t : T$ and $t \rightarrow t'$, then $\Gamma \vdash t' : T$.

Progress

If t is a closed and well-typed term, then either
 t is a value, or
 $t \rightarrow t'$ for some term t' .

Proofs: Induction on the structure of terms.

→ Use canonical forms lemma:

If v is closed value of type $T_1 \rightarrow T_2$, then $v = \lambda x : S_1. t_2$

If v is closed value of type $\forall X <: T_1. T_2$, then $v = \lambda X <: T_1. t_2$.

5. Properties of F-Sub

Theorem.

Typing and Subtyping in kernel F-sub is **decidable**.

Theorem.

Subtyping in full F-sub is **undecidable**.

Next time: (1) prove these theorems.

(2) look at FGJ = FJ+generics.