



# Type Systems

Lecture 9 Dec. 15th, 2004  
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## Today Parametric Polymorphism

1. Recall Let-Polymorphism
2. System F
3. Properties of System F
4. System F-sub
5. Properties of F-sub

### 1. Recall Let-Polymorphism

In simply-typed lambda-calculus, we can leave out ALL type annotations:

- insert new type variables
- do **type reconstruction** (using unification)

In this way, changing the let-rule, we obtain

**Let-Polymorphism**

- Simple form of polymorphism
- Introduced by [ Milner 1978 ] in ML
- also known as Damas-Milner polymorphism
- in ML, basis of powerful *generic libraries* (e.g., lists, arrays, trees, hash tables, ...)

### 1. Recall Let-Polymorphism

$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash [x \rightarrow t_1] t_2 : T_2}{\Gamma \vdash \text{let } x = t_1 \text{ in } t_2 : T_2}$$

```
let double = λx.λy. x(x(y)) in
{
  let a = double (λx:int. x+2) 2 in {
    let b = double (λx:bool. x) false in {...}
  }
}
```

CAN be typed now!! Because the new let rule creates two copies of double, and the rule for abstraction assigns a *different* type variable to each one.

### 1. Recall Let-Polymorphism

**Limits** of Let-Polymorphism?

- Only let-bound variables can be used polymorphically!
- NOT lambda-bound variables

Ex.: `let f = λg. ... g(1) ... g(true) ... in { f(λx.x) }`

is not typable: when typechecking the def. of f, g has type **X** (fresh)  
Which is then constrained by **X = int → Y** and **X = bool → Z**.

Functions cannot take polymorphic functions as parameters.  
(= no polymorphic arguments!)

### 2. System F

Aka **polymorphic lambda-calculus** or **second-order lambda-calculus**.

- do lambda-abstraction over **type variables**, define functions over types

Invented by

- Girard (1972) motivated by logics
- Reynolds (1974) motivated by programming.

## 2. System F

Aka polymorphic lambda-calculus or second-order lambda-calculus.

- Add (universal) quantification over TYPEs!
- Straightforward extension of simply typed lambda-calculus by two new constructs:

Type Abstraction:  $\lambda X. t$   
 Type Application:  $t [T]$

For example, the **polymorphic identity function**

$id = \lambda X. \lambda x:X. x$

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 Type Application:  $t [T]$

For example, the **polymorphic identity function**

$id = \lambda X. \lambda x:X. x$

can be applied to **Nat** by writing  $id [Nat]$ . The result is

$[X/Nat](\lambda x:X. x) = \lambda x:Nat. x$

## 2. System F

What is the type of

$id = \lambda X. \lambda x:X. x$

- If applied to a type **T**,  $id$  yields function of type **T→T**.

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What is the type of

$id = \lambda X. \lambda x:X. x$

- If applied to a type **T**,  $id$  yields function of type **T→T**.

Therefore denote its **type** by:  $\forall X. X \rightarrow X$

Typing rules for **type abstraction** and **type application**:

$$\frac{\Gamma, X \vdash t_2 : T_2}{\Gamma \vdash \lambda X. t_2 : \forall X. T_2} \qquad \frac{\Gamma \vdash t_1 : \forall X. T_{12}}{\Gamma \vdash t_1 [T_2] : [X/T_2]T_{12}}$$

## 2. System F

Evaluation, like simply typed lambda (3 rules), plus two new rules:

$$\frac{t_1 \rightarrow t_2'}{t_1 [T_2] \rightarrow t_2' [T_2]} \quad (\lambda X. t_{12}) [T_2] \rightarrow [X/T_2]t_{12}$$

Values (in the pure system) are

- $\lambda x:T. t$  abstraction value
- $\lambda X. t$  type abstraction value

Contexts contain  $x:T$  term variable binding  
 and  $X$  type variable binding

## 2. System F

**Examples.**

Polymorphic identity function:  $id = \lambda X. \lambda x:X. x$

Apply it:

$id [Nat] 5$   
 $= (\lambda X. \lambda x:X. x) [Nat] 5$   
 $\rightarrow [X/Nat](\lambda x:X. x) 5$   
 $\rightarrow (\lambda x:Nat. x) 5$   
 $\rightarrow [x/5](x)$   
 $\rightarrow 5$

As we saw, the type of  $id$  is  $\forall X. X \rightarrow X$ .

Can you find a function different from  $id$ , with the SAME TYPE??

## 2. System F

### Examples.

Polymorphic doubling function:

```
double = λx. λf:x→X. λa:x. f (f a)
```

```
double [Nat] (λx:Nat. succ(succ(x))) 3  
→7
```

```
quadruple = λx. double [X→X] (double [X])
```

What's the **type** of `quadruple`?

## 2. System F

### Examples.

In simply typed lambda-calculus

```
omega = (λx.x x) (λx. x x)
```

canNOT be typed!

Neither can the self-application fragment  $(\lambda x. x x)$   
In System-F we CAN type it:

```
self = λx: (∀X. X→X). x [∀X. X→X] x
```

```
self: (∀X. X→X) → (∀X. X→X)
```

## 2. System F

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self = λx: (∀X. X→X). x [∀X. X→X] x
```

```
self: (∀X. X→X) → (∀X. X→X)
```

Apply `self` to some function; e.g., to  $(\lambda y. \lambda y:Y. y)$

Polym. Fu's are "first class citizen"

## 2. System F

Main advantage of polymorphism:

→ many things need not be built into the language, but  
can be moved into **libraries**

Example. Lists.

Before:

For a type `T`: `List T` describes finite-length lists of elements from `T`.

New syntactic forms:

```
nil[T]
cons[T] t1 t2
isnil[T] t
head[T] t
tail[T] t
```

## 2. System F

Main advantage of polymorphism:

→ many things need not be built into the language, but  
can be moved into **libraries**

Example. Lists.

Now:

`List X` describes finite-length lists of elements of type `X`.

New syntactic forms:

```
nil:   ∀X. List X
cons:  ∀X. X → List X → List X
isnil: ∀X. List X → Bool
head:  ∀X. List X → X
tail:  ∀X. List X → List X
```

## 2. System F

Now we can build a library of polymorphic operations on lists.  
For example, a polymorphic `map` function.

```
map = λX. λY.
      λf:X→Y.
      (fix (λm:List X → List Y.
            λl:List X.
            if isnil[X] l then nil[Y]
            else cons[Y](f (head[X] l))
                       (m (tail[X] l))))
```

What is the type of `map`?

## 2. System F

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```
map = λX.λY.
      λf:X→Y.
      (fix (λm>List X → List Y.
            λl>List X.
            if isnil[X] 1 then nil[Y]
            else cons[Y](f (head[X] 1))
                       (m (tail[X] 1))))
```

What is the type of `map`?

`1 = cons[Nat] 4 (cons[Nat] 3 (cons[Nat] 2 (nil[Nat])))`

`head[Nat](map[Nat][Nat] (λx:Nat. succ x) 1)`  
`→5`

## 3. Properties of System F

System F is *impredicative*:

→ Polymorphic types are universally quantified over the universe of ALL types. This includes polymorphic types themselves!

→ Polymorphic types are "1<sup>st</sup> class" citizens in the world of types

E.g.  $(\lambda f: (\forall X.X \rightarrow X). f) \text{id}$

ML (let) – polymorphisms is *predicative*:

→ Polymorphic types are 2<sup>nd</sup> class. Arguments do not have polymorphic types! (prenex polymorphism)

E.g.  $(\text{fn } f \Rightarrow \text{fn } x \Rightarrow f \ x) \text{id } 3$

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→ Polymorphic types are "1<sup>st</sup> class" citizens in the world of types

E.g.  $(\lambda f: (\forall X.X \rightarrow X). f) \text{id}$  → Type variables range only over quantifier-free types (*monotypes*)  
→ Quantified types (*polytypes*, or *type schemes*) not allowed on left of arrow

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→ Polymorphic types are 2<sup>nd</sup> class. Arguments do not have polymorphic types! prenex polymorphism

E.g.  $(\text{fn } f \Rightarrow \text{fn } x \Rightarrow f \ x) \text{id } 3$

## 3. Properties of System F

### Parametricity

Evaluation of polymorphic applications does not depend on the type that is supplied!

→ There is exactly one function of type  $\forall X.X \rightarrow X$  (namely, the identity)

→ There are exactly two functions of type  $\forall X.X \rightarrow X \rightarrow X$  which have different behavior.

Namely,  $\lambda X.\lambda a:X.\lambda b:X. a$   
 and  $\lambda X.\lambda a:X.\lambda b:X. b$

These do not (and cannot) alter their behavior depending on X!

## 3. Properties of System F

### Parametricity

Evaluation of polymorphic applications does not depend on the type that is supplied!

Nevertheless, we defined a *type-passing semantics*:

$$(\lambda X.t_{12})[T_2] \rightarrow [X/T_2]t_{12}$$

Why do this, if eval. does not depend on it?

→ *type-erasure semantics*:

After the typechecking phase, **all types are erased!**

## Erasure and Type Reconstruction

```
erase(x)      = x
erase(λx:T. t) = λx. erase(t)
erase(t t')    = erase(t) erase(t')
erase(λX. t)   = erase(t)
erase(t [T])  = erase(t)
```

**Theorem.** (Wells, 1994): Let  $u$  be a closed term. It is undecidable, whether there is a well-typed system-F-term  $t$  with  $\text{erase}(t)=u$ .

→ **Type Reconstruction for system F is not possible!**

## Erasure and Type Reconstruction

Even if we leave intact all typing annotations, except the arguments to type applications:

```
perase(x)      = x
perase( $\lambda x:T.t$ ) =  $\lambda x:T.$ perase(t)
perase(t t')   = perase(t) perase(t')
perase( $\lambda x.t$ ) =  $\lambda x.$ perase(t)
perase(t [T]) = perase(t) []
```

Then Type Reconstruction is still not possible!

**Theorem.** (Boehm, 1989): Let  $u$  be a closed term. It is undecidable, whether there is a well-typed system-F-term  $t$  with  $\text{perase}(t)=u$ .

## Erasure and Evaluation

```
erase(x)      = x
erase( $\lambda x:T.t$ ) =  $\lambda x.$ erase(t)
erase(t t')   = erase(t) erase(t')
erase( $\lambda x.t$ ) = erase(t)
erase(t [T]) = erase(t')
```

We claimed that if  $t$  is well-typed, then  $t$  and  $\text{erase}(t)$  evaluate to the same.

Is this also true in the presence of side effects??

What about

```
let f = ( $\lambda x.$ error) in 0
```

## Erasure and Evaluation

```
erase(x)      = x
erase( $\lambda x:T.t$ ) =  $\lambda x.$ erase(t)
erase(t t')   = erase(t) erase(t')
erase( $\lambda x.t$ ) =  $\lambda \_.$ erase(t)
erase(t [T]) = erase(t') dummyv
```

We claimed that if  $t$  is well-typed, then  $t$  and  $\text{erase}(t)$  evaluate to the same.

Is this also true in the presence of side effects??

NO! --- but can be fixed easily.

## 3. Properties of System F

### Uniqueness

Every well-typed System-F-term has exactly one type.

### Preservation

If  $t \vdash t:T$  and  $t \rightarrow t'$ , then  $\Gamma \vdash t':T$ .

### Progress

If  $t$  is a closed and well-typed term, then either  $t$  is a value, or  $t \rightarrow t'$  for some term  $t'$ .

Proofs: straightforward induction on the structure of terms.

## 3. Properties of System F

### Normalization

Every well-typed System-F-term  $t$  is normalizing, i.e.,

$$\exists t': t \rightarrow^* t' \not\rightarrow$$

Proof: very hard (Girard's PhD thesis, 1972)  
→ later simplified to about 5 pages

Surprising: normalization holds even though MANY things can be coded in System F!

Can the (erased) term  $(\lambda x.x x)(\lambda x.x x)$  be typed in System F?

## 3. Properties of System F

### Normalization

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Surprising: normalization holds even though MANY things can be coded in System F!

Can the (erased) term  $(\lambda x.x x)(\lambda x.x x)$  be typed in System F?

→ This can even be proved directly! Do EXERCISE 23.6.3 in TAPL!

### 3. Properties of System F

When is (partial) type reconstruction possible??

- First-class existential types (e.g., using ML's datatype mechanism)
- Add to that universal quantifiers which may appear in annotations of function arguments

In the presence of subtyping:

- Local type inference

### 4. System F-Sub

Want to combine subtyping and polymorphism.  
How?

$f = \lambda x:\{a:\text{Nat}\}. x : \{a:\text{Nat}\} \rightarrow \{a:\text{Nat}\}$

$f \{a=0\}$   
→  $\{a=0\} : \{a:\text{Nat}\}$  (works in any system)

$f \{a=0, b=\text{true}\}$   
→  $\{a=0, b=\text{true}\} : \{a:\text{Nat}\}$  (using the subsumption rule)

↑  
result type has no b field!

( $f \{a=0, b=\text{true}\}$ ).b is ill-typed.  
→ we cannot access the b field anymore!!

### 4. System F-Sub

Use polymorphic identity `fpoly` instead of `f`:

$f = \lambda x:\{a:\text{Nat}\}. x : \{a:\text{Nat}\} \rightarrow \{a:\text{Nat}\}$

$\text{fpoly} = \lambda X. \lambda x:X. x : (\forall X.X \rightarrow X)$

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$\text{fpoly} = \lambda X. \lambda x:X. x : (\forall X.X \rightarrow X)$

$\text{fpoly} [\{a:\text{Nat}, b:\text{Bool}\}] \{a=0, b=\text{true}\}$

→  $\{a=0, b=\text{true}\} : \{a:\text{Nat}, b:\text{Bool}\}$

HURRA!

### 4. System F-Sub

$f2 = \lambda x:\{a:\text{Nat}\}. \{\text{orig}=x, \text{asucc}=\text{succ}(x.a)\}$

Has type  $\{a:\text{Nat}\} \rightarrow \{\text{orig}:\{a:\text{Nat}\}, \text{asucc}:\text{Nat}\}$

$\text{fpoly} = \lambda X. \lambda x:X. x$

$f2\text{poly} = \lambda X. \lambda x:X. \{\text{orig}=x, \text{asucc}=\text{succ}(x.a)\};$

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Type Error: Expected Record Type

$f2$  should take ANY record type, which has at least the field  $a$ :  $\text{Nat}$ .

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= any subtype of  $\{a:\text{Nat}\}$

$X\<:\{a:\text{Nat}\}$

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#### 4. System F-Sub

##### Bounded Quantification

$f2\text{poly} = \lambda X\<:\{a:\text{Nat}\}. \lambda x:X. \{\text{orig}=x, \text{asucc}=\text{succ}(x.a)\};$

**System  $F_{\<}$**  type abstraction:  $\lambda X\<:T$   
quantified type:  $\forall X\<:T. T$   
In contexts we now have  $\Gamma, x:T, X\<:T$

Evaluation (nothing changes):  $(\lambda X\<:T. t_{12}) [T_2] \rightarrow [X/T_2] t_{12}$

Typing rules for **type abstraction** and **type application**:

$$\frac{\Gamma, X\<:T \vdash t_2:T_2}{\Gamma \vdash \lambda X\<:T. t_2 : \forall X\<:T. T_2} \quad \frac{\Gamma \vdash t_1 : \forall X\<:T_{11}. T_{12} \quad \Gamma \vdash T_2\<:T_{11}}{\Gamma \vdash t_1 [T_2] : [X/T_2] T_{12}}$$

#### 4. System F-Sub

##### Bounded Quantification

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subtyping

#### 4. System F-Sub

Unbounded Quantification:  $\forall X. T := \forall X\<:\text{Top}. T$

##### Subtyping Quantified Types:

$$\frac{\Gamma, X\<:U_1 \vdash S_2\<:T_2}{\Gamma \vdash \forall X\<:U_1. S_2\<: \forall X\<:U_1. T_2}$$

"the kernel rule"

$\rightarrow$  "kernel F-sub"

#### 4. System F-Sub

Scoping:

$\Gamma_1 = X <: \text{Top}, y : X \rightarrow \text{Nat}$   
 $\Gamma_2 = y : X \rightarrow \text{Nat}, X <: \text{Top}$   
 $\Gamma_3 = X <: \{a : \text{Nat}, b : X\}$   
 $\Gamma_4 = X <: \{a : \text{Nat}, b : Y\}, Y <: \{c : \text{Bool}, d : X\}$

which of these contexts are **not well-scoped**?

#### 4. System F-Sub

Scoping:

$\Gamma_1 = X <: \text{Top}, y : X \rightarrow \text{Nat}$   
 $\Gamma_2 = y : X \rightarrow \text{Nat}, X <: \text{Top}$   
 $\Gamma_3 = X <: \{a : \text{Nat}, b : X\}$   
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 $\Gamma_4 = X <: \{a : \text{Nat}, b : Y\}, Y <: \{c : \text{Bool}, d : X\}$

which of these contexts are **not well-scoped**?

$\lambda X <: \{a : \text{Nat}, b : X\}$

$X <: \{a : \text{Nat}, b : \{a : \text{Nat}, b : \text{Top}\}\}$

→ "F-bounded Quantification"

#### 4. System F-Sub

F-Bounded Quantification:

→ used in GJ design

→ more complex than F-sub,

And "it only becomes really interesting when recursive types are also included .."

.. No non-recursive type can satisfy  $X <: \{a : \text{Nat}, b : X\}$ "

#### 4. System F-Sub

→ In kernel F-sub, two quantified types can only be compared if their upper bounds are identical.

Similar to restricting the arrow rule

$$\frac{S_2 <: T_2}{U \rightarrow S_2 <: U \rightarrow T_2}$$

$$\frac{\Gamma, X <: U_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: U_1. S_2 <: \forall X <: U_1. T_2}$$

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$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

→ "full F-sub"



#### 4. System F-Sub

Which types are related by the subtype relation  
of full F-sub, but NOT in kernel F-sub???

$$\frac{\Gamma, X <: U_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: U_1. S_2 <: \forall X <: U_1. T_2}$$

$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

#### 4. System F-Sub

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of full F-sub, but NOT in kernel F-sub???

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$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

→ Are there any USEFUL ones???

#### 5. Properties of F-Sub

##### Preservation

If  $t \vdash t : T$  and  $t \rightarrow t'$ , then  $\Gamma \vdash t' : T$ .

##### Progress

If  $t$  is a closed and well-typed term, then either  
 $t$  is a value, or  
 $t \rightarrow t'$  for some term  $t'$ .

Proofs: Induction on the structure of terms.

→ Use canonical forms lemma:

If  $v$  is closed value of type  $T_1 \rightarrow T_2$ , then  $v = \lambda x : S_1. t_2$

If  $v$  is closed value of type  $\forall X <: T_1. T_2$ , then  $v = \lambda X <: T_1. t_2$ .

#### 5. Properties of F-Sub

##### Theorem.

Typing and Subtyping in kernel F-sub is **decidable**.

##### Theorem.

Subtyping in full F-sub is **undecidable**.

Next time: (1) prove these theorems.

(2) look at FGJ = FJ+generics.