



# Type Systems

Lecture 9 Dec. 15th, 2004

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<http://lampwww.epfl.ch/teaching/typeSystems/2004>



Today

# Parametric Polymorphism

1. Recall Let-Polymorphism
2. System F
3. Properties of System F
4. System F-sub
5. Properties of F-sub



# 1. Recall Let-Polymorphism

In simply-typed lambda-calculus, we can leave out ALL type annotations:

- insert new type variables
- do **type reconstruction** (using unification)

In this way, changing the let-rule, we obtain

## **Let-Polymorphism**

- Simple form of polymorphism
- Introduced by [ Milner 1978 ] in ML
- also known as Damas-Milner polymorphism
- in ML, basis of powerful *generic libraries*  
(e.g., lists, arrays, trees, hash tables, ...)

# 1. Recall Let-Polymorphism

$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash [x \rightarrow t_1] t_2 : T_2}{\Gamma \vdash \text{let } x = t_1 \text{ in } t_2 : T_2}$$

```
let double = λx.λy. x(x(y)) in
{
  let a = double (λx:int. x+2) 2 in {
    let b = double (λx:bool. x) false in {...}
  }
}
```

CAN be typed now!! Because the new let rule creates two copies of double, and the rule for abstraction assigns a *different* type variable to each one.

# 1. Recall Let-Polymorphism

Limits of Let-Polymorphism?

- Only let-bound variables can be used polymorphically!
- NOT lambda-bound variables

Ex.: `let f = λg. ... g(1) ... g(true) ...  
in { f(λx.x) }`

is not typable: when typechecking the def. of f, g has type  $X$  (fresh)  
Which is then constrained by  $X = \text{int} \rightarrow Y$  and  $X = \text{bool} \rightarrow Z$ .

Functions cannot take polymorphic functions as parameters.

(= no polymorphic arguments!)



## 2. System F

Aka **polymorphic lambda-calculus** or **second-order lambda-calculus**.

→ do lambda-abstraction over **type variables**,  
define functions over types

Invented by

→ Girard (1972) motivated by logics

→ Reynolds (1974) motivated by programming.

## 2. System F

Aka polymorphic lambda-calculus or second-order lambda-calculus.

- Add (universal) quantification over TYPEs!
- Straightforward extension of simply typed lambda-calculus by two new constructs:

Type Abstraction:  $\lambda X. t$

Type Application:  $t [T]$

For example, the **polymorphic identity function**

$id = \lambda X. \lambda x:X. x$

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Type Abstraction:  $\lambda X. t$

Type Application:  $t [T]$

For example, the polymorphic identity function

$$\text{id} = \lambda X. \lambda x:X. x$$

can be applied to `Nat` by writing `id [Nat]`. The result is

$$[X/\text{Nat}] (\lambda x:X. x) = \lambda x:\text{Nat}. x$$



## 2. System F

What is the type of

$$\text{id} = \lambda X. \lambda x:X. x$$

→ If applied to a type  $T$ ,  $\text{id}$  yields function of type  $T \rightarrow T$ .

## 2. System F

What is the type of

$$\text{id} = \lambda X. \lambda x:X. x$$

→ If applied to a type  $T$ ,  $\text{id}$  yields function of type  $T \rightarrow T$ .

Therefore denote its **type** by:  $\forall X. X \rightarrow X$

---

Typing rules for **type abstraction** and **type application**:

$$\frac{\Gamma, X \vdash t_2 : T_2}{\Gamma \vdash \lambda X. t_2 : \forall X. T_2}$$

$$\frac{\Gamma \vdash t_1 : \forall X. T_{12}}{\Gamma \vdash t_1 [T_2] : [X/T_2] T_{12}}$$



## 2. System F

### Examples.

Polymorphic identity function:  $\text{id} = \lambda X. \lambda x:X. x$

Apply it:

```
id [Nat] 5
= ( $\lambda X. \lambda x:X. x$ ) [Nat] 5
→ [ $X/\text{Nat}$ ] ( $\lambda x:X. x$ ) 5
→ ( $\lambda x:\text{Nat}. x$ ) 5
→ [ $x/5$ ] ( $x$ )
→ 5
```

As we saw, the type of  $\text{id}$  is  $\forall X. X \rightarrow X$ .

Can you find a function different from  $\text{id}$ , with the SAME TYPE??

## 2. System F

### Examples.

Polymorphic doubling function:

$$\text{double} = \lambda x. \lambda f:x \rightarrow x. \lambda a:x. f (f a)$$

$\text{double} [\text{Nat}] (\lambda x:\text{Nat}. \text{succ}(\text{succ}(x))) 3$   
 $\rightarrow 7$

$$\text{quadruple} = \lambda x. \text{double} [x \rightarrow x] (\text{double} [x])$$

What's the **type of quadruple**?

## 2. System F

### Examples.

In simply typed lambda-calculus

$$\text{omega} = (\lambda x. x x) (\lambda x. x x)$$

canNOT be typed!

Neither can the self-application fragment  $(\lambda x. x x)$

In System-F we CAN type it:

$$\text{self} = \lambda x: (\forall X. X \rightarrow X). x [\forall X. X \rightarrow X] x$$

$$\text{self}: (\forall X. X \rightarrow X) \rightarrow (\forall X. X \rightarrow X)$$

## 2. System F

### Examples.

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$$\text{self}: (\forall X. X \rightarrow X) \rightarrow (\forall X. X \rightarrow X)$$

Apply `self` to some function; e.g., to

$$(\lambda Y. \lambda y: Y. y)$$

Polym. Fu's are "first class citizen"

## 2. System F

Main advantage of polymorphism:

→ many things need not be built into the language, but can be moved into **libraries**

Example. Lists.

Before:

For a type T: **List** T describes finite-length lists of elements from T.

New syntactic forms:

```
nil [T]
cons [T] t1 t2
isnil [T] t
head [T] t
tail [T] t
```

## 2. System F

Main advantage of polymorphism:

→ many things need not be built into the language, but can be moved into **libraries**

Example. Lists.

Now:

`List X` describes finite-length lists of elements of type `X`.

New syntactic forms:

<code>nil:</code>	<code>∀X. List X</code>
<code>cons:</code>	<code>∀X. X → List X → List X</code>
<code>isnil:</code>	<code>∀X. List X → Bool</code>
<code>head:</code>	<code>∀X. List X → X</code>
<code>tail:</code>	<code>∀X. List X → List X</code>

## 2. System F

Now we can build a library of polymorphic operations on lists.  
For example, a polymorphic `map` function.

```
map = λX.λY.  
      λf:X→Y.  
        (fix (λm:List X → List Y.  
              λl:List X.  
                if isnil[X] l then nil[Y]  
                else cons[Y](f (head[X] l))  
                             (m (tail[X] l))))))
```

What is the type of `map`?

## 2. System F

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```

What is the type of `map`?

```
l = cons[Nat] 4 (cons[Nat] 3 (cons[Nat] 2 (nil[Nat])))
```

```
head[Nat](map[Nat][Nat] (λx:Nat. succ x) l)  
→5
```

### 3. Properties of System F

System F is *impredicative*:

→ Polymorphic types are universally quantified over the universe of ALL types. This includes polymorphic types themselves!

→ Polymorphic types are “1<sup>st</sup> class” citizens in the world of types

E.g.  $(\lambda f: (\forall X. X \rightarrow X). f) \text{ id}$

ML (let) – polymorphisms is *predicative*:

→ Polymorphic types are 2<sup>nd</sup> class. Arguments do not have polymorphic types! (prenex polymorphism)

E.g.  $(\text{fn } f \Rightarrow \text{fn } x \Rightarrow f \ x) \text{ id } 3$

### 3. Properties of System F

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→ Polymorphic types are “1<sup>st</sup> class” citizens in the world of types

E.g.  $(\lambda f: (\forall X. X \rightarrow X). f) \text{ id}$

→ Type variables range only over quantifier-free types (*monotypes*)  
→ Quantified types (*polytypes*, or *type schemes*) not allowed on left of arrow

ML (let) – polymorphisms is *predicative*:

→ Polymorphic types are 2<sup>nd</sup> class. Arguments do not have polymorphic types! **prenex polymorphism**

E.g.  $(\text{fn } f \Rightarrow \text{fn } x \Rightarrow f \ x) \text{ id } 3$

### 3. Properties of System F

#### Parametricity

Evaluation of polymorphic applications does not depend on the type that is supplied!

→ There is exactly one function of type  $\forall X. X \rightarrow X$   
(namely, the identity)

→ There are exactly two functions of type  $\forall X. X \rightarrow X \rightarrow X$   
which have different behavior.

Namely,  $\lambda X. \lambda a : X. \lambda b : X. a$

and  $\lambda X. \lambda a : X. \lambda b : X. b$

These do not (and cannot) alter their behavior depending on X!

### 3. Properties of System F

#### Parametricity

Evaluation of polymorphic applications does not depend on the type that is supplied!

Nevertheless, we defined a *type-passing semantics*:

$$(\lambda X. t_{12}) [\tau_2] \rightarrow [X/\tau_2] t_{12}$$

Why do this,  
if eval. does not depend on it?

→ *type-erasure semantics*:

After the typechecking phase, *all types are erased!*

## Erase and Type Reconstruction

$\text{erase}(x) = x$

$\text{erase}(\lambda x:T.t) = \lambda x.\text{erase}(t)$

$\text{erase}(t\ t') = \text{erase}(t)\ \text{erase}(t')$

$\text{erase}(\lambda X.t) = \text{erase}(t)$

$\text{erase}(t\ [\tau]) = \text{erase}(t)$

**Theorem.** (Wells, 1994): Let  $u$  be a closed term. It is undecidable, whether there is a well-typed system-F-term  $t$  with  $\text{erase}(t)=u$ .

→ Type Reconstruction for system F is not possible!

## Erasure and Type Reconstruction

Even if we leave intact all typing annotations, except the arguments to type applications:

$$\begin{aligned} \text{perase}(x) &= x \\ \text{perase}(\lambda x:T.t) &= \lambda x:T.\text{perase}(t) \\ \text{perase}(t\ t') &= \text{perase}(t)\ \text{perase}(t') \\ \text{perase}(\lambda X.t) &= \lambda X.\text{perase}(t) \\ \text{perase}(t\ [T]) &= \text{perase}(t)\ [] \end{aligned}$$

Then Type Reconstruction is still not possible!

**Theorem.** (Boehm, 1989): Let  $u$  be a closed term. It is undecidable, whether there is a well-typed system-F-term  $t$  with  $\text{perase}(t)=u$ .

## Erasure and Evaluation

$$\begin{aligned}\text{erase}(x) &= x \\ \text{erase}(\lambda x:T.t) &= \lambda x.\text{erase}(t) \\ \text{erase}(t \ t') &= \text{erase}(t) \ \text{erase}(t') \\ \text{erase}(\lambda X.t) &= \text{erase}(t) \\ \text{erase}(t \ [\tau]) &= \text{erase}(t')\end{aligned}$$

We claimed that if  $t$  is well-typed, then  $t$  and  $\text{erase}(t)$  evaluate to the same.

Is this also true in the presence of side effects??

What about

$$\text{let } f = (\lambda X.\text{error}) \text{ in } 0$$

## Erasure and Evaluation

```
erase(x)           = x
erase( $\lambda x:T.t$ ) =  $\lambda x.$ erase(t)
erase(t t')        = erase(t) erase(t')
erase( $\lambda x.t$ )    =  $\lambda \_.$ erase(t)
erase(t [T])      = erase(t') dummyv
```

We claimed that if  $t$  is well-typed, then  $t$  and  $\text{erase}(t)$  evaluate to the same.

Is this also true in the presence of side effects??

**NO!** --- but can be fixed easily.



## 3. Properties of System F

### Uniqueness

Every well-typed System-F-term has exactly one type.

### Preservation

If  $t \vdash t : T$  and  $t \rightarrow t'$ , then  $\Gamma \vdash t' : T$ .

### Progress

If  $t$  is a closed and well-typed term, then either  
   $t$  is a value, or  
   $t \rightarrow t'$  for some term  $t'$ .

Proofs: straightforward induction on the structure of terms.

### 3. Properties of System F

#### Normalization

Every well-typed System-F-term  $t$  is normalizing, i.e.,

$$\exists t' : t \rightarrow^* t' \not\rightarrow$$

Proof: very hard (Girard's PhD thesis, 1972)  
→ later simplified to about 5 pages

Surprising: normalization holds even though MANY things can be coded in System F!

---

Can the (erased) term  $(\lambda x. x \ x) (\lambda x. x \ x)$  be typed in System F?

### 3. Properties of System F

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Can the (erased) term  $(\lambda x. x \ x) (\lambda x. x \ x)$  be typed in System F?

→ This can even be proved directly! Do EXERCISE 23.6.3 in TAPL!



### 3. Properties of System F

When is (partial) type reconstruction possible??

- First-class existential types (e.g., using ML's datatype mechanism)
- Add to that universal quantifiers which may appear in annotations of function arguments

In the presence of subtyping:

- Local type inference

## 4. System F-Sub

Want to combine subtyping and polymorphism.

How?

$$f = \lambda x:\{a:\text{Nat}\}. x \quad : \{a:\text{Nat}\} \rightarrow \{a:\text{Nat}\}$$

$f \{a=0\}$   
 $\rightarrow \{a=0\} : \{a:\text{Nat}\}$  (works in any system)

$f \{a=0, b=\text{true}\}$   
 $\rightarrow \{a=0, b=\text{true}\} : \{a:\text{Nat}\}$  (using the subsumption rule)



result type has no b field!

$(f \{a=0, b=\text{true}\}).b$  is ill-typed.

$\rightarrow$  we cannot access the b field anymore!!

## 4. System F-Sub

Use polymorphic identity `fpoly` instead of `f`:

$$f = \lambda x:\{a:\text{Nat}\}. x : \{a:\text{Nat}\} \rightarrow \{a:\text{Nat}\}$$
$$\text{fpoly} = \lambda X. \lambda x:X. x : (\forall X.X \rightarrow X)$$

## 4. System F-Sub

Use polymorphic identity `fpoly` instead of `f`:

$$f = \lambda x:\{a:\text{Nat}\}. x : \{a:\text{Nat}\} \rightarrow \{a:\text{Nat}\}$$
$$\text{fpoly} = \lambda X. \lambda x:X. x : (\forall X.X \rightarrow X)$$
$$\text{fpoly} [\{a:\text{Nat}, b:\text{Bool}\}] \{a=0, b=\text{true}\}$$
$$\rightarrow \{a=0, b=\text{true}\} : \{a:\text{Nat}, b:\text{Bool}\}$$

HURRA!

## 4. System F-Sub

$f2 = \lambda x:\{a:\text{Nat}\}. \{orig=x, asucc=succ(x.a)\}$

Has type  $\{a:\text{Nat}\} \rightarrow \{orig:\{a:\text{Nat}\}, asucc:\text{Nat}\}$

$fpoly = \lambda x. \lambda x:x. x$

$f2poly = \lambda x. \lambda x:x. \{orig=x, asucc=succ(x.a)\};$

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Type Error: Expected Record Type

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Type Error: Expected Record Type

f2 should take ANY record type, which has at least the field a: Nat.

## 4. System F-Sub

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Type Error: Expected Record Type

$f2$  should take ANY record type, which has **at least the field  $a: \text{Nat}$** .

= any subtype of  $\{a:\text{Nat}\}$

$x <: \{a:\text{Nat}\}$

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= any subtype of  $\{a:\text{Nat}\}$

$x <: \{a:\text{Nat}\}$

## 4. System F-Sub

### Bounded Quantification

$f2poly = \lambda X <: \{a: Nat\}. \lambda x:.. \{orig=x, asucc=succ(x.a)\};$

---

### System $F_{<}$ :

type abstraction:  $\lambda X <: T$

quantified type:  $\forall X <: T. T$

In contexts we now have  $\Gamma, x:T, X <: T$

Evaluation (nothing changes):  $(\lambda X <: T. t_{12}) [T_2] \rightarrow [X/T_2] t_{12}$

Typing rules for **type abstraction** and **type application**:

$$\frac{\Gamma, X <: T \vdash t_2 : T_2}{\Gamma \vdash \lambda X <: T. t_2 : \forall X <: T. T_2}$$

$$\frac{\Gamma \vdash t_1 : \forall X <: T_{11}. T_{12} \quad \Gamma \vdash T_2 <: T_{11}}{\Gamma \vdash t_1 [T_2] : [X/T_2] T_{12}}$$

## 4. System F-Sub

### Bounded Quantification

f2poly =  $\lambda X <: \{a: \text{Nat}\}. \lambda x:.. \{\text{orig}=x, \text{asucc}=\text{succ}(x.a)\};$

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In contexts we now have  $\Gamma, x:T, X <: T$

Evaluation (nothing changes):  $(\lambda X <: T. t_{12}) [T_2] \rightarrow [X/T_2] t_{12}$

Typing rules for **type abstraction** and **type application**:

subtyping

$$\frac{\Gamma, X <: T \vdash t_2 : T_2}{\Gamma \vdash \lambda X <: T. t_2 : \forall X <: T. T_2}$$

$$\frac{\Gamma \vdash t_1 : \forall X <: T_{11}. T_{12} \quad \Gamma \vdash T_2 <: T_{11}}{\Gamma \vdash t_1 [T_2] : [X/T_2] T_{12}}$$

## 4. System F-Sub

Unbounded Quantification:  $\forall X.T \quad := \quad \forall X<:\text{Top}.T$

Subtyping Quantified Types:

$$\frac{\Gamma, X<:U_1 \vdash S_2<:T_2}{\Gamma \vdash \forall X<:U_1.S_2 <: \forall X<:U_1.T_2}$$

“the *kernel* rule”

→ “kernel F-sub”

## 4. System F-Sub

Scoping:

$$\Gamma_1 = X <: \text{Top}, y : X \rightarrow \text{Nat}$$

$$\Gamma_2 = y : X \rightarrow \text{Nat}, X <: \text{Top}$$

$$\Gamma_3 = X <: \{a : \text{Nat}, b : X\}$$

$$\Gamma_4 = X <: \{a : \text{Nat}, b : Y\}, Y <: \{c : \text{Bool}, d : X\}$$

which of these contexts are **not well-scoped**?

## 4. System F-Sub

Scoping:

$$\Gamma_1 = X <: \text{Top}, y : X \rightarrow \text{Nat}$$
$$\Gamma_2 = y : X \rightarrow \text{Nat}, X <: \text{Top}$$
$$\Gamma_3 = X <: \{a : \text{Nat}, b : X\}$$
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## 4. System F-Sub

Scoping:

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$$\Gamma_4 = X <: \{a : \text{Nat}, b : Y\}, Y <: \{c : \text{Bool}, d : X\}$$

which of these contexts are **not well-scoped**?

$$\lambda X <: \{a : \text{Nat}, b : X\}$$
$$X <: \{a : \text{Nat}, b : \{a : \text{Nat}, b : \text{Top}\}\}$$

→ “F-bounded Quantification”



## 4. System F-Sub

F-Bounded Quantification:

- used in GJ design
- more complex than F-sub,

And “it only becomes really interesting when recursive types are also included ..

.. No non-recursive type can satisfy  $X <: \{a:\text{Nat}, b:X\}$ ”

## 4. System F-Sub

→ In kernel F-sub, two quantified types can only be compared if their upper bounds are identical.

Similar to restricting the arrow rule

$$\frac{S_2 <: T_2}{U \rightarrow S_2 <: U \rightarrow T_2}$$

$$\frac{\Gamma, X <: U_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: U_1. S_2 <: \forall X <: U_1. T_2}$$

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Similar to restricting the arrow rule

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$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

→ “full F-sub”

## 4. System F-Sub

Which types are related by the subtype relation

of full F-sub, but NOT in kernel F-sub???

$$\frac{\Gamma, X <: U_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: U_1. S_2 <: \forall X <: U_1. T_2}$$

$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

## 4. System F-Sub

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$$\frac{\Gamma \vdash T_1 <: S_1 \quad \Gamma, X <: T_1 \vdash S_2 <: T_2}{\Gamma \vdash \forall X <: S_1. S_2 <: \forall X <: T_1. T_2}$$

→ Are there any USEFUL ones???

## 5. Properties of F-Sub

### Preservation

If  $t \vdash t:T$  and  $t \rightarrow t'$ , then  $\Gamma \vdash t' :T$ .

### Progress

If  $t$  is a closed and well-typed term, then either  
 $t$  is a value, or  
 $t \rightarrow t'$  for some term  $t'$ .

Proofs: Induction on the structure of terms.

→ Use canonical forms lemma:

If  $v$  is closed value of type  $T_1 \rightarrow T_2$ , then  $v = \lambda x:S_1. t_2$

If  $v$  is closed value of type  $\forall X<:T_1. T_2$ , then  $v = \lambda X<:T_1. t_2$ .



## 5. Properties of F-Sub

### **Theorem.**

Typing and Subtyping in kernel F-sub is **decidable**.

### **Theorem.**

Subtyping in full F-sub is **undecidable**.

Next time: (1) prove these theorems.

(2) Look at  $FGJ = FJ + \text{generics}$ .