

# **Concurrency: Theory, Languages and Programming**

**– CCS –**

**Session 4 – November 13, 2002**

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# Plan

## Session 4

from  $\lambda$ -calculus to CCS: towards concurrency  
Structural Operational Semantics (SOS)

## Session 5

examples ...  
... using the Scala-library

## Session 6

from CCS to  $\lambda$ -calculus: pragmatics, syntax, semantics  
more SOS

## Session 7

examples ...  
... using the Scala-library

# Foundational Calculi ?

We are interested in the foundations of programming.  
We use “foundational” mini-languages as vehicles that guide our intuition and style of expression. When does such a mini-language deserve to be called a “calculus”?

few primitives

mathematically tractable

*calculate* computational steps

notion of equivalence

*computationally complete* (Turing, URM, GOTO, ...)

“*naturally complete*”: design of programming languages

easily “extensible” via *encodings*

*higher-order* principles

# Concurrency?

parallelism: independent threads of control

distribution:

- logical concurrency

- physical concurrency

- failures

synchronization / cooperation / coordination  
communication

foundational calculus for (just) concurrency ?

# $\lambda$ -Calculus

**Syntax** (for example)

a BNF-grammar generates the set of expressions ...

$$M$$
$$M$$

**Semantics** (for example)

a set of inference rules generates (and controls) the possible reductions of terms

$$(\ ) \frac{}{M \quad M}$$

$$\text{(FUN)} \frac{M \quad M}{M \quad M}$$

$$\text{(ARG)} \frac{}{M \quad M}$$

# Functional vs Concurrent

functional / reduction systems:

reduce a term to value form

*only* the resulting value is interesting

observation after termination

concurrent / reactive systems:

describe the possible interactions *during* evaluation

the resulting value is *not* (necessarily) interesting

observation through and during interaction

The notion of *interaction (communication)* is important !

Hoare (CSP) and Milner (CCS) proposed  
handshake-communication as the primitive form of interaction.

# Functional vs Concurrent

	functional	concurrent
determinism	possible	?
confluence	wanted/needed	?
termination	?	?
foundation		CCS, , (Petri nets, ...)
ff-language	ML, Scala, ...	Pict, Join, Scala, ...

# CCS

	process identifiers		
$\mathcal{N}$	names		
$\overline{\mathcal{N}}$	co-names	— — —	
	labels (buttons)	metavariables	$\mathcal{N}$ $\overline{\mathcal{N}}$
$\mathcal{A}$	actions	metavariables	

visible/external actions: labels

invisible/internal actions:

**finite sequences** for *names* (*not co-names!*)

**parametric processes** with  
*name* parameters (neither co-names, nor labels, ...)



# Sequential Process Expressions (I)

**Definition:** The sets  $\mathcal{P}$  and  $\mathcal{M}$  of sequential process expressions is defined (precisely) by the following BNF-syntax:

$$\begin{array}{c} \mathcal{M} \\ \mathcal{P} \end{array} \quad \begin{array}{c} \mathcal{M} \\ \mathcal{M} \quad \mathcal{M} \end{array}$$

We use  $\mathcal{P}$  to stand for *process expressions*, while  $\mathcal{M} \quad \mathcal{M}$  always stand for *choices* or *summations*. We also use the abbreviation

$$\sum$$

where  $I$  is the finite indexing set  $I$ .

Note that then the order of summands is not fixed.

# Sequential Process Expressions (II)

each process identifier  $P$  is assumed to have a **defining equation** (note the brackets)

$$M$$

where  $M$  is a summation,  $P$  is (or: includes)  $M$ .

Note:  $P$  does only include *names*!

$\text{fn}(P)$ : the set of all of the **(free) names** of  $P$

$\text{fn}(M)$  means the same as  $\text{fn}(M)$

**substitution**  $\sigma$  (for matching  $\text{fn}(P)$  and  $\text{fn}(M)$ )  
replaces *all* occurrences of  $x$  in  $M$  by  $\sigma(x)$ .

# Free Names, Inductively

**Definition:** The set  $\text{fn}(M)$  is defined inductively by:

$$\text{fn}(M) = \begin{cases} \{x\} & \text{if } M = x \\ \text{fn}(M_1) & \text{if } M = M_1 \\ \text{fn}(M_1) \cup \text{fn}(M_2) & \text{if } M = M_1 M_2 \end{cases}$$

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$$\text{fn}(M_1 M_2) = \text{fn}(M_1) \cup \text{fn}(M_2)$$

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# Substitution, Inductively

## Definition:

$$\left\{ \begin{array}{l} \text{if} \\ \text{if} \quad - \\ \text{otherwise} \end{array} \right.$$

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$$M \quad M \quad M \quad M$$

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# Simultaneous Substitution, Inductively

## Definition:

Let  $M$  and  $N$

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$\left\{ \begin{array}{ll} \text{if } M \text{ with } N & \text{if } M \text{ with } N \\ \text{if } M \text{ with } N & \text{if } M \text{ with } N \\ \text{otherwise } M & \text{otherwise } M \end{array} \right.$

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$M$   $M$   $M$   $M$

---

# Example: 1-Place Binary Buffer

$\mathcal{N}$                       in   out

in   in   out   out

Buff                      1-place buffer containing

---

Buff                       $\sum$                       in   Buff

Buff                       $\overline{\text{out}}$    Buff

---

# Example: 2-Place Binary Buffer

$\mathcal{N}$                     in   out

in   in   out   out

Buff                    2-place buffer containing

---

Buff                     $\sum$                     in   Buff

Buff                     $\overline{\text{out}}$  Buff                     $\sum$                     in   Buff

Buff                     $\overline{\text{out}}$  Buff

---

modify Buff    to release values in either order

write an analogous definition for Buff    ...

# Labeled Transition Systems

## Definition:

An **LTS**  $\mathcal{T}$  over an **action alphabet**  $\mathcal{A}$ :

a set of **states**

a ternary **transition relation**  $\mathcal{T} \subseteq S \times \mathcal{A} \times S$

A transition  $(s, a, s')$  in  $\mathcal{T}$  is also written  $s \xrightarrow{a} s'$ .

If  $s \xrightarrow{a} s'$  we call  $s'$  a **derivative** of  $s$ .

LTSs are automata, but ignoring starting and accepting states.  
*Transition Graphs* are useful ...



# LTS - Sequential Expressions

**Definition:** The LTS  $\mathcal{T}$  of sequential process expressions over  $\mathcal{A}$  has  $\mathcal{A}$  as states, and its transitions  $\mathcal{T}$  are precisely generated by the following rules:

PRE:

$$\text{SUM : } \frac{M \quad M}{M \quad M \quad M}$$

$$\text{SUM : } \frac{M \quad M}{M \quad M \quad M}$$

$$\text{DEF: } \frac{M}{M} \quad \text{IF} \quad M$$

Note that transition under prefix is not allowed/included.

# Concurrent Process Expressions (I)

**Definition:** The set of concurrent process expressions is defined (precisely) by the following BNF-syntax:

$$\begin{array}{c} M \\ M \quad M \quad M \end{array}$$

We use  $M$  to stand for process expressions.

$\lambda x.M$  restricts the scope of  $x$  to  $M$   
 $\tau.M$  abbreviates  $\lambda x.x.M$

# Concurrent Process Expressions (II)

precedence: unary binds tighter than binary

$$\begin{array}{ccc} & M & M \\ M & M & M & M \end{array}$$

what about:

# Bound and Free Names

**binds** in

occurs **bound** in ,  
if it occurs in a subterm of

occurs **free** in ,  
if it occurs without enclosing in

Define and inductively on  
(sets of free/bound names of ):

# $\alpha$ -Conversion & Substitution

**substitution** (for matching  $\lambda$  and  $\mu$ )  
replaces *all* **free** occurrences of  $x$  in  $M$  by  $N$ .

**$\alpha$ -conversion**, written  $\alpha M$  :  
conflict-free **renaming of bound names**  
(no new name-bindings shall be generated)

**substitution** (for matching  $\lambda$  and  $\mu$ , where  $\mu$  p.w.d.)  
replaces *all* **free** occurrences of  $x$  in  $M$  by  $N$ ,  
possibly enforcing  $\alpha$ -conversion.

# Examples

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—

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—

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—

# LTS — Concurrent Expressions

PAR : \_\_\_\_\_

PAR : \_\_\_\_\_

—

REACT: \_\_\_\_\_

RES: \_\_\_\_\_

IF —

ALPHA: \_\_\_\_\_ IF

AND

# Buffers, revisited ...

$\mathcal{N}$

in out x

in in out out

Bluff

x x

Buff

in in x x

Buff

x x out out

compare the behavior (= LTSs) of Buff and Bluff  
regard both as lack boxes with “buttons” ...