

# Drei

## syntaxe abstraite et règles de typage

Martin Odersky

11 décembre 2006  
version 1.1

## Notations

Notation	Interprétation
$\bar{a}$	séquence $a_1, \dots, a_n$ pour $n \in \mathbb{N}$
$\epsilon$	séquence vide
$ \bar{a} $	longueur de la séquence $\bar{a}$
$\bar{a}, \bar{b}$	concaténation des séquences $\bar{a}$ et $\bar{b}$
$\bar{a} \mapsto \bar{\sigma}$	$a_1 \mapsto \sigma_1, \dots, a_n \mapsto \sigma_n$
$\text{dom}(\bar{a} \mapsto \bar{\sigma})$	$\bar{a}$
$\Gamma_c; \Gamma_v \vdash \bar{t} : \bar{T}$	$\Gamma_c; \Gamma_v \vdash t_1 : T_1, \dots, \Gamma_c; \Gamma_v \vdash t_n : T_n$

Notation	Interprétation
$\Gamma \vdash \bar{X} \Rightarrow \Gamma'$	$\Gamma_n$ pour $\left\{ \begin{array}{l} \Gamma \vdash X_1 \Rightarrow \Gamma_1 \\ \vdots \\ \Gamma_{n-1} \vdash X_n \Rightarrow \Gamma_n \end{array} \right.$

Notation	Interprétation
$\Gamma + (a \mapsto \sigma)$	$\left\{ \begin{array}{ll} \Gamma, a \mapsto \sigma & \text{si } a \notin \text{dom}(\Gamma) \\ \Gamma', a \mapsto \sigma, \Gamma'' & \text{si } \Gamma = \Gamma', a \mapsto \sigma', \Gamma'' \end{array} \right.$
$\Gamma \uplus \Gamma'$	$\Gamma, \Gamma'$ si $\text{dom}(\Gamma) \cap \text{dom}(\Gamma') = \epsilon$

Notation	Interprétation
$fields(\bar{d})$	$\biguplus$ $val\ a : T \in \bar{d} \quad (a \mapsto \text{Field}(T))$
$methods(\bar{d})$	$\biguplus$ $def\ a(\bar{a} : \bar{T}) : T = t \in \bar{d} \quad (a \mapsto \text{Meth}(\bar{T}   T))$
$params(\bar{a}, \bar{T})$	$+$ $a, T \in (\bar{a}, \bar{T}) \quad (a \mapsto \text{Var}(T))$

## Grammaire abstraite

nom	$a, b$	
programmes	$P ::= \bar{D} S$	
classes	$D ::= \text{class } a \text{ extends } s \{ \bar{d} \}$ $s ::= a \mid \text{none}$	déclaration de classe super classe
membres	$d ::= \text{val } a : T$   $\text{def } a(\bar{a} : \bar{T}) : T = t$	déclaration de champ déclaration de méthode
types	$T, U ::= a$   $\text{Int}$   $\text{None}$	type de classe type entier type indéterminé

expressions	$t, u$	::=	$a$	variable
			$\text{new } a(\bar{t})$	création d'instance
			$t.a$	sélection de champ
			$t.a(\bar{t})$	appel de méthode
			$n$	nombre entier
			$\text{unop } t$	opération unaire
			$t \text{ binop } t'$	opération binaire
			<code>readInt</code>	lecture d'entier
			<code>readChar</code>	lecture de caractère
			$\{ \bar{S} t \}$	block
			<code>empty</code>	

énoncés	$S$	::=	<code>while</code> $t$ $S$	exécution en boucle
			<code>if</code> $t$ <code>then</code> $S$ <code>else</code> $S'$	exécution conditionnelle
			<code>var</code> $a : T = t$	déclaration de variable
			<code>set</code> $a = t$	définition de variable
			<code>do</code> $t$	instruction
			<code>printInt</code> ( $t$ )	impression d'entier
			<code>printChar</code> ( $t$ )	impression de caractère
			$\{ \bar{S} \}$	énoncé composite

op. unaires	$\text{unop}$	::=	$- \mid !$
op. binaires	$\text{binop}$	::=	$+ \mid - \mid * \mid / \mid \% \mid = \mid \neq \mid < \mid \leq \mid \geq \mid > \mid \wedge$

## Symboles

classe	$\sigma_c$	$::=$	$\text{Class}(\bar{a} \Gamma_f \Gamma_m)$ $\bar{a}$ : parents, $\Gamma_f$ : champs, $\Gamma_m$ : méthodes
champ	$\sigma_f$	$::=$	$\text{Field}(T)$ $T$ : type du champ
méthode	$\sigma_m$	$::=$	$\text{Meth}(\bar{T} T)$ $\bar{T}$ : types des paramètres, $T$ : type de retour
variable	$\sigma_v$	$::=$	$\text{Var}(T)$ $T$ : type de la variable

## Portées

classes	$\Gamma_c$	$::=$	$\bar{a} \mapsto \bar{\sigma}_c$
champs	$\Gamma_f$	$::=$	$\bar{a} \mapsto \bar{\sigma}_f$
méthodes	$\Gamma_m$	$::=$	$\bar{a} \mapsto \bar{\sigma}_m$
variables	$\Gamma_v$	$::=$	$\bar{a} \mapsto \bar{\sigma}_v$

## Règles de typage

$$\begin{array}{c}
 \text{PROGRAM} \\
 \text{none} \mapsto \text{Class}(\epsilon|\epsilon|\epsilon) \vdash \bar{D} \Rightarrow \Gamma_c \\
 \Gamma_c \vdash \bar{D} \diamond \quad \Gamma_c; \epsilon \vdash S \Rightarrow \epsilon \\
 \hline
 \bar{D} S \diamond
 \end{array}$$

- $P \diamond$  means : Program  $P$  is well-formed.
- A program  $\bar{D} S$  is well formed if its declarations  $\bar{D}$  generate a **class environment**  $\Gamma_c$  and its statement  $S$  is well-formed in this environment
- The **initial environment**  $\text{none} \mapsto \text{Class}(\epsilon|\epsilon|\epsilon)$  maps the “undefined” superclass  $\text{none}$  to a symbol denoting an empty class without ancestors.

$$\begin{array}{c}
 \text{CLASS1} \\
 s \mapsto \text{Class}(\bar{a}|\Gamma_f|\Gamma_m) \in \Gamma_c \quad \Gamma'_f = \Gamma_f \uplus \text{fields}(\bar{d}) \\
 \Gamma'_m = \Gamma_m + \text{methods}(\bar{d}) \quad \Gamma'_c = \Gamma_c \uplus (a \mapsto \text{Class}(a, \bar{a}|\Gamma'_f|\Gamma'_m)) \\
 \hline
 \Gamma_c \vdash \text{class } a \text{ extends } s \{ \bar{d} \} \Rightarrow \Gamma'_c
 \end{array}$$

- $\Gamma_c \vdash D \Rightarrow \Gamma'_c$  means : In class environment  $\Gamma_c$  the class declaration  $D$  is well-formed, and leads to a new class environment  $\Gamma'_c$
- Preconditions :
  - The superclass  $s$  must be defined in  $\Gamma_c$ .
  - All class member definitions are added to the scopes, but without checking them.

$$\text{CLASS2} \quad \frac{\Gamma_c; a \vdash \bar{d} \diamond}{\Gamma_c \vdash \text{class } a \text{ extends } s \{ \bar{d} \} \diamond}$$

- $\Gamma_c \vdash D \diamond$  means : In class environment  $\Gamma_c$  the class declaration  $D$  is well-formed.
- Both this and Class1 must be valid for a particular class to be valid.
- Preconditions :
  - All class member definitions  $\bar{d}$  must be well-formed.

$$\text{FIELD} \quad \frac{\Gamma_c \vdash T \diamond}{\Gamma_c; b \vdash \text{val } a : T \diamond}$$

- $\Gamma_c; b \vdash d \diamond$  means : Given a class environment  $\Gamma_c$ , definition  $d$  is well-formed as a member of class  $b$ .
- A field definition is well-formed if its type is well-formed.
- The corresponding rule for methods is much more complicated...

### METHOD

$$\frac{\begin{array}{l} \Gamma_c \vdash T \diamond \quad \Gamma_c \vdash \bar{T} \diamond \quad b \mapsto \text{Class}(\bar{b} | \Gamma_f | \Gamma_m) \in \Gamma_c \\ \forall c \in \bar{b}. c \mapsto \text{Class}(\bar{c} | \Gamma'_f | \Gamma'_m) \in \Gamma_c \wedge a \mapsto \text{Meth}(\bar{U} | U) \in \Gamma'_m \implies \begin{cases} \Gamma_c \vdash \bar{U} <: \bar{T} \\ \Gamma_c \vdash T <: U \end{cases} \\ \Gamma_v = \text{params}((\text{this}, \bar{a}), (b, \bar{T})) \quad \Gamma_c; \Gamma_v \vdash t : T' \quad \Gamma_c \vdash T' <: T \end{array}}{\Gamma_c; b \vdash \text{def } a(\bar{a} : \bar{T}) : T = t \diamond}$$

Preconditions :

- Parameter types and result type are well-formed.
- If the method **overrides** a method  $a$  in a base class :
  - Its result type must be a subtype of  $a$ 's result type.
  - Its parameter types must be supertypes of  $a$ 's parameter types.
- The body  $t$  of the method must have a type which is a subtype of the declared result type.
- The body  $t$  is typed in a variable environment  $\Gamma_v$  where
  - All method parameters are bound to their types.
  - The special name *this* is bound to the current class  $b$ .

### CLASSTYPE

$$\frac{a \mapsto \text{Class}(\bar{a} | \Gamma_f | \Gamma_m) \in \Gamma_c}{\Gamma_c \vdash a \diamond}$$

### INTTYPE

$$\Gamma_c \vdash \text{Int} \diamond$$

### NOTYPE

$$\Gamma_c \vdash \text{None} \diamond$$

- $\Gamma_c \vdash T \diamond$  means : Type  $T$  is well-formed in class environment  $\Gamma_c$ .
- Classes are well-formed if their name is bound in the class environment.
- Types `Int` and `None` are always well-formed.



$$\frac{\text{SUBCLASS} \quad a \mapsto \text{Class}(\bar{a}|\Gamma_f|\Gamma_m) \in \Gamma_c}{\Gamma_c \vdash a <: a_i}$$

$$\frac{\text{INTREFL}}{\Gamma_c \vdash \text{Int} <: \text{Int}}$$

$$\frac{\text{NONEREFL}}{\Gamma_c \vdash \text{None} <: \text{None}}$$

- $\Gamma_c \vdash T <: U$  means : Type  $T$  is a subtype of type  $U$ , assuming a class environment  $\Gamma_c$ .
- A class type is a subtype of all its ancestor classes.
- Note that a class is its own ancestor, as seen from rule Class1.
- Types `Int` and `None` are only subtypes of themselves.

$$\frac{\text{IDENT} \quad a \mapsto \text{Var}(T) \in \Gamma_v}{\Gamma_c; \Gamma_v \vdash a : T}$$

$$\frac{\text{SELECT} \quad \Gamma_c; \Gamma_v \vdash t : b \quad b \mapsto \text{Class}(\bar{b}|\Gamma_f|\Gamma_m) \in \Gamma_c \quad a \mapsto \text{Field}(T) \in \Gamma_f}{\Gamma_c; \Gamma_v \vdash t.a : T}$$

- The rule for **identifiers** demands that every identifier is defined.
- Identifier bindings are found in the value environment  $\Gamma_v$ .
- In a **selection**  $t.a$ , the term  $t$  must have a class type,  $b$ .
- The symbol for  $a$  is then found in the field environment of class  $b$ .

$$\begin{array}{c}
\text{CALL} \\
\frac{\Gamma_c; \Gamma_v \vdash t : b \quad b \mapsto \text{Class}(\bar{b} | \Gamma_f | \Gamma_m) \in \Gamma_c \quad a \mapsto \text{Meth}(\bar{T} | T) \in \Gamma_m \quad \Gamma_c; \Gamma_v \vdash \bar{t} : \bar{U} \quad \Gamma_c \vdash \bar{U} <: \bar{T}}{\Gamma_c; \Gamma_v \vdash t.a(\bar{t}) : T}
\end{array}$$

- The rule [CALL] is similar to [SELECT].
- New precondition : The types of function arguments must match (i.e. be subtypes of) the types of formal parameters.
- Implicitly, this demands that the numbers of arguments and formals are the same.

$$\begin{array}{c}
\text{NEW} \\
\frac{\Gamma_f = \bar{a} \mapsto \text{Field}(\bar{T}) \quad a \mapsto \text{Class}(\bar{b} | \Gamma_f | \Gamma_m) \in \Gamma_c \quad \Gamma_c; \Gamma_v \vdash \bar{t} : \bar{U} \quad \Gamma_c \vdash \bar{U} <: \bar{T}}{\Gamma_c; \Gamma_v \vdash \text{new } a(\bar{t}) : a}
\end{array}$$

$$\begin{array}{c}
\text{INTLIT} \\
\Gamma_c; \Gamma_v \vdash n : \text{Int}
\end{array}$$

$$\begin{array}{c}
\text{UNOP} \\
\frac{\Gamma_c; \Gamma_v \vdash t : \text{Int}}{\Gamma_c; \Gamma_v \vdash \text{unop } t : \text{Int}}
\end{array}$$

- The name  $a$  must refer to a class
- The arguments  $\bar{t}$  must match (in number and type) the fields of class  $a$ .

$$\text{BINOP} \quad \frac{\text{binop} \notin \{=, \neq\} \quad \Gamma_c; \Gamma_v \vdash t : \text{Int} \quad \Gamma_c; \Gamma_v \vdash u : \text{Int}}{\Gamma_c; \Gamma_v \vdash t \text{ binop } u : \text{Int}}$$

$$\text{OBJCOMP} \quad \frac{\text{binop} \in \{=, \neq\} \quad \Gamma_c; \Gamma_v \vdash t : T \quad \Gamma_c; \Gamma_v \vdash u : U \quad \Gamma_c \vdash T <: U \vee \Gamma_c \vdash U <: T}{\Gamma_c; \Gamma_v \vdash t \text{ binop } u : \text{Int}}$$

- The operands  $t$  and  $u$  may have possible different types  $T$  and  $U$ .
- But one of  $T, U$  must be a subtype of the other.
- Are there other possible design choices?

$$\text{READINT} \quad \Gamma_c; \Gamma_v \vdash \text{readInt} : \text{Int}$$

$$\text{READCHAR} \quad \Gamma_c; \Gamma_v \vdash \text{readChar} : \text{Int}$$

$$\text{BLOCK} \quad \frac{\Gamma_c; \Gamma_v \vdash \bar{S} \Rightarrow \Gamma'_v \quad \Gamma_c; \Gamma'_v \vdash t : T^{\text{EMPTY}}}{\Gamma_c; \Gamma_v \vdash \{\bar{S} t\} : T} \quad \Gamma_c; \Gamma_v \vdash \text{empty} : \text{None}$$

- The statements  $\bar{S}$  must be well-formed, producing a value environment  $\Gamma'_v$ . **See slide 3 for notation!**
- $\Gamma'_v$  contains  $\Gamma_v$  and adds bindings resulting from definitions in  $\bar{S}$ .
- The final expression  $t$  is then typed with  $\Gamma'_v$  as environment.
- The type of  $t$  is also the type of the block.

$$\text{IF} \frac{\Gamma_c; \Gamma_v \vdash t : \text{Int} \quad \Gamma_c; \Gamma_v \vdash S \Rightarrow \Gamma_v \quad \Gamma_c; \Gamma_v \vdash S' \Rightarrow \Gamma_v}{\Gamma_c; \Gamma_v \vdash \text{if } t \text{ then } S \text{ else } S' \Rightarrow \Gamma_v}$$

- $\Gamma_c; \Gamma_v \vdash S \Rightarrow \Gamma'_v$  means : In class environment  $\Gamma_c$  and value environment  $\Gamma_v$  the statement  $S$  is well-formed and it leads to a new value environment  $\Gamma'_v$ .
- $\Gamma'_v$  is the same as  $\Gamma_v$  except if the statement  $S$  is a variable declaration : in that case,  $\Gamma'_v$  augments  $\Gamma_v$  with a binding for the declared variable.
- In an **if-statement**  $\text{if } t \text{ then } S \text{ else } S'$  :
  - The condition  $t$  must be of type  $\text{Int}$ .
  - The branches  $S, S'$  must both be well-formed statements.

$$\text{WHILE} \frac{\Gamma_c; \Gamma_v \vdash t : \text{Int} \quad \Gamma_c; \Gamma_v \vdash S \Rightarrow \Gamma_v}{\Gamma_c; \Gamma_v \vdash \text{while } t \text{ } S \Rightarrow \Gamma_v}$$

$$\text{VAR} \frac{\Gamma_c \vdash T \diamond \quad \Gamma_c; \Gamma_v \vdash t : U \quad \Gamma_c \vdash U <: T \quad \Gamma'_v = \Gamma_v + a \mapsto \text{Var}(T)}{\Gamma_c; \Gamma_v \vdash \text{var } a : T = t \Rightarrow \Gamma'_v}$$

In a **variable declaration**  $\text{var } a : T = t$  :

- The declared variable type  $T$  must be well formed.
- The initial expression  $t$  must have a type which is a subtype of  $T$ .
- The variable declaration then produces a new environment which adds the binding  $a \mapsto \text{Var}(T)$  to  $\Gamma_v$ .

$$\text{SET} \quad \frac{a \mapsto \text{Var}(T) \in \Gamma_v \quad \Gamma_c; \Gamma_v \vdash t : U \quad \Gamma_c \vdash U <: T}{\Gamma_c; \Gamma_v \vdash \text{set } a = t \Rightarrow \Gamma_v}$$

$$\text{Do} \quad \frac{\Gamma_c; \Gamma_v \vdash t : T}{\Gamma_c; \Gamma_v \vdash \text{do } t \Rightarrow \Gamma_v}$$

$$\text{PRINTINT} \quad \frac{\Gamma_c; \Gamma_v \vdash t : \text{Int}}{\Gamma_c; \Gamma_v \vdash \text{printInt}(t) \Rightarrow \Gamma_v}$$

$$\text{PRINTCHAR} \quad \frac{\Gamma_c; \Gamma_v \vdash t : \text{Int}}{\Gamma_c; \Gamma_v \vdash \text{printChar}(t) \Rightarrow \Gamma_v}$$

$$\text{COMPOUND} \quad \frac{\Gamma_c; \Gamma_v \vdash \bar{S} \Rightarrow \Gamma'_v}{\Gamma_c; \Gamma_v \vdash \{ \bar{S} \} \Rightarrow \Gamma_v}$$

- The rule for **compound statements** reflects the block structure of Drei :
- After a compound statement, all definitions added to the original value environment  $\Gamma_v$  are forgotten.