

# Foundations of Dataflow Analysis

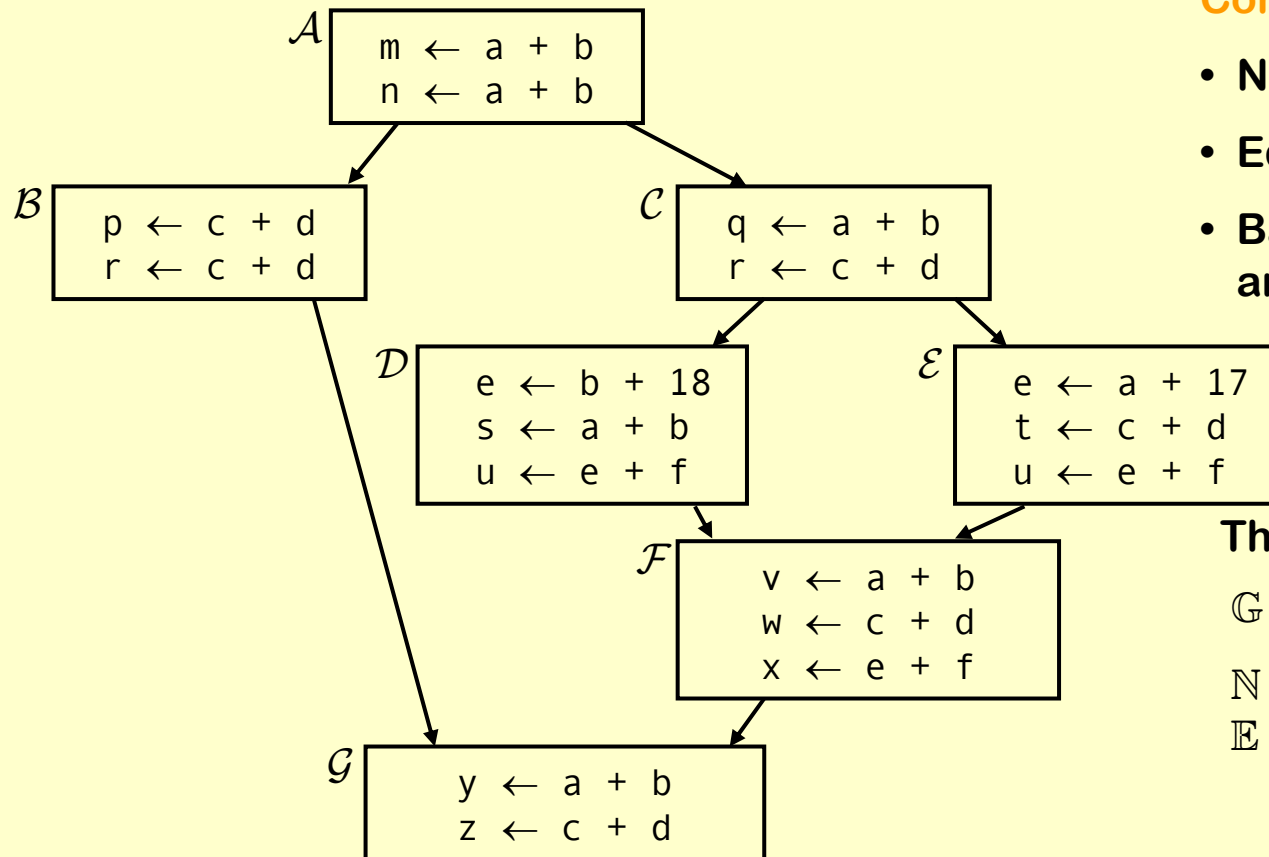
This lecture is primarily based on Konstantinos Sagonas set of slides  
(Advanced Compiler Techniques, (2AD518)  
at Uppsala University, January-February 2004).  
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# Terminology: Program Representation

## Control Flow Graph (CFG):

- ◆ Nodes  $N$  – statements of program
- ◆ Edges  $E$  – flow of control
  - ◆  $pred(n)$  = set of all immediate predecessors of  $n$
  - ◆  $succ(n)$  = set of all immediate successors of  $n$
- ◆ Start node  $n_0$
- ◆ Set of final nodes  $N_{final}$

# Terminology: Control-Flow Graph



## Control-flow graph (CFG)

- Nodes for basic blocks
- Edges for branches
- Basis for much of program analysis & transformation

This CFG,

$$G = (N, E)$$

$$N = \{A, B, C, D, E, F, G\}$$

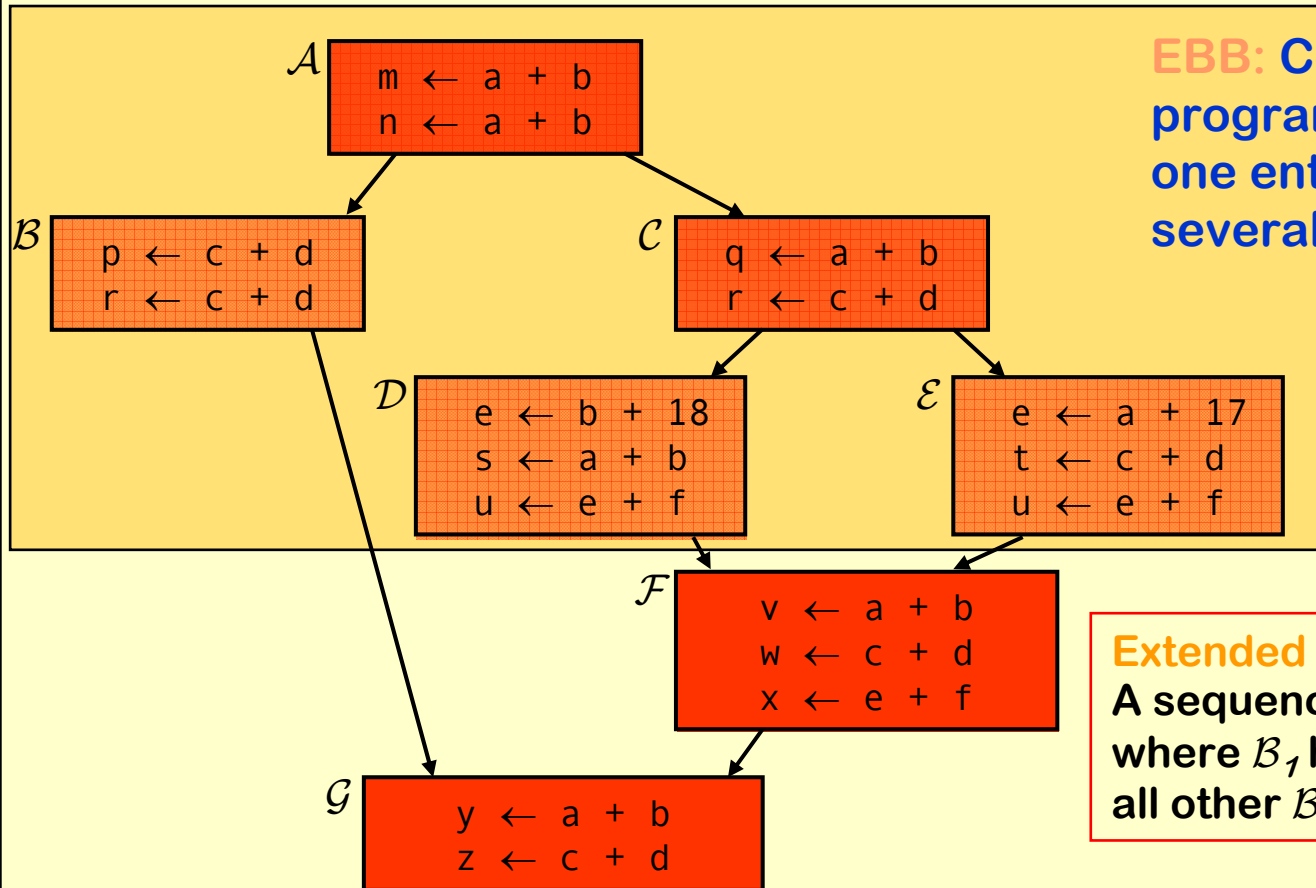
$$E = \{(A, B), (A, C), (B, G), (C, D), (C, E), (D, F), (E, F), (F, G)\}$$

$$|N| = 7$$

$$|E| = 8$$

# Terminology:

## Extended Basic Block



**EBB:** Conceptually it is a program sequence with only one entry point but possibly several exit points.

An EBB contains 1 or more paths. This EBB  $(\{A, B, C, D, E\})$  contains the paths  $\{A, B\}$   $\{A, C, D\}$   $\{A, C, E\}$

### Extended Basic Block (EBB):

A sequence of basic blocks  $B_1, B_2, \dots, B_n$  where  $B_1$  has more than 1 predecessor, all other  $B_i$  have a unique predecessor.

### Path:

A sequence of basic blocks  $B_1, B_2, \dots, B_n$  where  $B_i$  is the predecessor of  $B_{i+1}$ .

# Terminology: Program Points

- ◆ One program point before each node.
- ◆ One program point after each node.
- ◆ *Join point* – Program point with multiple predecessors.
- ◆ *Split point* – Program point with multiple successors.

# Dataflow Analysis

## Compile-Time Reasoning About

- ◆ Run-Time Values of Variables or Expressions at different program points:
  - ◆ Which assignment statements produced the value of the variables at this point?
  - ◆ Which variables contain values that are no longer used after this program point?
  - ◆ What is the range of possible values of a variable at this program point?

# Dataflow Analysis

- ◆ Assumptions:
  - ◆ We have a syntactically and semantically correct program (as far as compile time analysis can determine this).
  - ◆ We have the “whole” program, or a clearly defined subset of the program which will only interact with the rest of the program through a predefined interface.  
(That is, no *self* modifying code, and if the interface is a function then the parameters can take any value of the given type.)

# Dataflow Analysis: Basic Idea

- ◆ Information about a program represented using values from an algebraic structure called *lattice*. (We will call this set of values  $\mathbb{P}$ .)
- ◆ Analysis produces a lattice value for each program point.
- ◆ Two flavors of analyses:
  - ◆ *Forward dataflow analyses.*
  - ◆ *Backward dataflow analyses.*



# Forward Dataflow Analysis

- ◆ Analysis propagates values forward through control flow graph with flow of control
  - ◆ Each node has a transfer function  $f$ 
    - ◆ Input – value at program point before node.
    - ◆ Output – new value at program point after node.
  - ◆ Values flow from program points after predecessor nodes to program points before successor nodes.
  - ◆ At join points, values are combined using a merge function.
- ◆ Canonical Example: **Reaching Definitions.**

# Backward Dataflow Analysis

- ◆ Analysis propagates values backward through control flow graph against flow of control:
  - ◆ Each node has a transfer function  $f$ 
    - ◆ Input – value at program point after node.
    - ◆ Output – new value at program point before node.
  - ◆ Values flow from program points before successor nodes to program points after predecessor nodes.
  - ◆ At split points, values are combined using a merge function.
- ◆ Canonical Example: **Live Variables**.

# Partial Orders

◆ Set  $\mathbb{P}$

◆ Partial order  $\leq$  such that  $\forall x, y, z \in \mathbb{P}$

*i.*  $x \leq x$  (reflexive)

*ii.*  $x \leq y$  and  $y \leq x \Rightarrow x = y$  (antisymmetric)

*iii.*  $x \leq y$  and  $y \leq z \Rightarrow x \leq z$  (transitive)

# Upper Bounds

- ◆ If  $S \subseteq \mathbb{P}$  then
  - ◆  $x \in \mathbb{P}$  is an *upper bound* of  $S$  if
$$\forall y \in S, y \leq x$$
  - ◆  $x \in \mathbb{P}$  is the *least upper bound* (lub) of  $S$  if
    - ◆  $x$  is an upper bound of  $S$ , and
    - ◆  $x \leq y$  for all upper bounds  $y$  of  $S$
  - ◆  $\vee$  - *join*, least upper bound, supremum (sup)
    - ◆  $\bigvee S$  is the least upper bound of  $S$
    - ◆  $x \vee y$  is the least upper bound of  $\{x, y\}$

# Lower Bounds

- ◆ If  $S \subseteq \mathbb{P}$  then
  - ◆  $x \in \mathbb{P}$  is a *lower bound* of  $S$  if  $\forall y \in S, x \leq y$
  - ◆  $x \in \mathbb{P}$  is the *greatest lower bound (glb)* of  $S$  if
    - ◆  $x$  is a lower bound of  $S$ , and
    - ◆  $y \leq x$  for all lower bounds  $y$  of  $S$
  - ◆  $\wedge$  - *meet*, greatest lower bound, infimum (inf)
    - ◆  $\wedge S$  is the greatest lower bound of  $S$
    - ◆  $x \wedge y$  is the greatest lower bound of  $\{x, y\}$

# Coverings

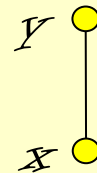
- ◆ Notation:  $x < y$  if  $x \leq y$  and  $x \neq y$
- ◆  $x$  is covered by  $y$  ( $y$  covers  $x$ ) if
  - ◆  $x < y$ , and
  - ◆  $x \leq z < y \Rightarrow x = z$
- ◆ Conceptually,  $y$  covers  $x$  if there are no elements between  $x$  and  $y$

# Dataflow Analysis: Basic Idea

- ◆ Information about a program represented using values from an algebraic structure called *lattice*. (We will call this set of values  $\mathbb{P}$ .)
- ◆ Analysis produces a lattice value for each program point.
- ◆ Two flavors of analyses:
  - ◆ *Forward dataflow analyses.*
  - ◆ *Backward dataflow analyses.*

# Hasse Diagram

- ◆ We can visualize a partial order with a Hasse Diagram.
- ◆ For each element  $x$  we draw a circle: ●
- ◆ If  $y$  covers  $x$ 
  - ◆ Line from  $y$  to  $x$
  - ◆  $y$  above  $x$  in diagram



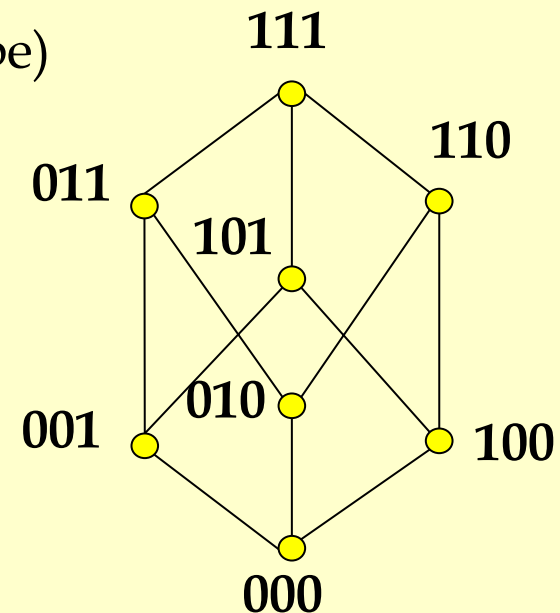


# Hasse Diagram: Example

$$\mathbb{P} = \{000, 001, 010, 011, 100, 101, 110, 111\}$$

$$x \leq y \text{ if } (x \text{ bitwise\_and } y) = x$$

(standard boolean lattice, also called hypercube)



# Lattices

- ◆ If  $x \wedge y$  and  $x \vee y$  exist for all  $x, y \in \mathbb{P}$ , then  $\mathbb{P}$  is a *lattice*.
- ◆ If  $\bigwedge S$  and  $\bigvee S$  exist for all  $S \subseteq \mathbb{P}$ , then  $\mathbb{P}$  is a *complete lattice*.
- ◆ Theorem: **All finite lattices are complete.**
- ◆ Example of a lattice that is not complete
  - ◆ Integers  $\mathbb{Z}$
  - ◆ For any  $x, y \in \mathbb{Z}$ ,  $x \vee y = \max(x, y)$ ,  $x \wedge y = \min(x, y)$
  - ◆ But  $\bigvee \mathbb{Z}$  and  $\bigwedge \mathbb{Z}$  do not exist
  - ◆  $\mathbb{Z} \cup \{+\infty, -\infty\}$  is a complete lattice

# Top and Bottom

- ◆ Greatest element of  $\mathbb{P}$  (if it exists) is *top* ( $\top$ ).
- ◆ Least element of  $\mathbb{P}$  (if it exists) is *bottom* ( $\perp$ ).

# Connection between $\leq$ , $\wedge$ , and $\vee$

The following 3 properties are equivalent:

- ◆  $x \leq y$

- ◆  $x \vee y = y$

- ◆  $x \wedge y = x$

- ◆ Will prove:

- ◆  $x \leq y \Rightarrow x \vee y = y$  and  $x \wedge y = x$

- ◆  $x \vee y = y \Rightarrow x \leq y$

- ◆  $x \wedge y = x \Rightarrow x \leq y$

- ◆ By Transitivity,

- ◆  $x \vee y = y \Rightarrow x \wedge y = x$

- ◆  $x \wedge y = x \Rightarrow x \vee y = y$

# Connecting Lemma Proofs (1)

- ◆ Proof of  $x \leq y \Rightarrow x \vee y = y$ 
  - ◆  $x \leq y \Rightarrow y$  is an upper bound of  $\{x, y\}$ .
  - ◆ Any upper bound  $z$  of  $\{x, y\}$  must satisfy  $y \leq z$ .
  - ◆ So  $y$  is least upper bound of  $\{x, y\}$  and  $x \vee y = y$
- ◆ Proof of  $x \leq y \Rightarrow x \wedge y = x$ 
  - ◆  $x \leq y \Rightarrow x$  is a lower bound of  $\{x, y\}$ .
  - ◆ Any lower bound  $z$  of  $\{x, y\}$  must satisfy  $z \leq x$ .
  - ◆ So  $x$  is the greatest lower bound of  $\{x, y\}$ ,  
that is  $x \wedge y = x$

# Connecting Lemma Proofs (2)

- ◆ Proof of  $x \vee y = y \Rightarrow x \leq y$ 
  - ◆  $y$  is an upper bound of  $\{x, y\} \Rightarrow x \leq y$
- ◆ Proof of  $x \wedge y = x \Rightarrow x \leq y$ 
  - ◆  $x$  is a lower bound of  $\{x, y\} \Rightarrow x \leq y$

# Lattices as Algebraic Structures

- ◆ Have defined  $\vee$  and  $\wedge$  in terms of  $\leq$ .
- ◆ Now define  $\leq$  in terms of  $\vee$  and  $\wedge$ :
  - ◆ Start with  $\vee$  and  $\wedge$  as arbitrary algebraic operations that satisfy associative, commutative, idempotence, and absorption laws.
  - ◆ Will define  $\leq$  using  $\vee$  and  $\wedge$ .
  - ◆ Will show that  $\leq$  is a partial order.

# Algebraic Properties of Lattices

Assume arbitrary operations  $\vee$  and  $\wedge$  such that

- ◆  $(x \vee y) \vee z = x \vee (y \vee z)$  (associativity of  $\vee$ )
- ◆  $(x \wedge y) \wedge z = x \wedge (y \wedge z)$  (associativity of  $\wedge$ )
- ◆  $x \vee y = y \vee x$  (commutativity of  $\vee$ )
- ◆  $x \wedge y = y \wedge x$  (commutativity of  $\wedge$ )
- ◆  $x \vee x = x$  (idempotence of  $\vee$ )
- ◆  $x \wedge x = x$  (idempotence of  $\wedge$ )
- ◆  $x \vee (x \wedge y) = x$  (absorption of  $\vee$  over  $\wedge$ )
- ◆  $x \wedge (x \vee y) = x$  (absorption of  $\wedge$  over  $\vee$ )



# Connection Between $\wedge$ and $\vee$

Theorem:  $x \vee y = y$  if and only if  $x \wedge y = x$

◆ Proof of  $x \vee y = y \Rightarrow x = x \wedge y$

$$\begin{aligned} x &= x \wedge (x \vee y) && \text{(by absorption)} \\ &= x \wedge y && \text{(by assumption)} \end{aligned}$$

◆ Proof of  $x \wedge y = x \Rightarrow y = x \vee y$

$$\begin{aligned} y &= y \vee (y \wedge x) && \text{(by absorption)} \\ &= y \vee (x \wedge y) && \text{(by commutativity)} \\ &= y \vee x && \text{(by assumption)} \\ &= x \vee y && \text{(by commutativity)} \end{aligned}$$

# Properties of $\leq$

- ◆ Define  $x \leq y$  if  $x \vee y = y$
- ◆ Proof of transitive property. Show that

$$x \vee y = y \text{ and } y \vee z = z \Rightarrow x \vee z = z$$

$$\begin{aligned} x \vee z &= x \vee (y \vee z) && \text{(by assumption)} \\ &= (x \vee y) \vee z && \text{(by associativity)} \\ &= y \vee z && \text{(by assumption)} \\ &= z && \text{(by assumption)} \end{aligned}$$

# Properties of $\leq$

- ◆ Proof of asymmetry property. Show that

$$x \vee y = y \text{ and } y \vee x = x \Rightarrow x = y$$

$$x = y \vee x \quad (\text{by assumption})$$

$$= x \vee y \quad (\text{by commutativity})$$

$$= y \quad (\text{by assumption})$$

- ◆ Proof of reflexivity property. Show that

$$x \vee x = x$$

$$x \vee x = x \quad (\text{by idempotence})$$

# Properties of $\leq$

- ◆ Induced operation  $\leq$  agrees with original definitions of  $\vee$  and  $\wedge$ , i.e.,
  - ◆  $x \vee y = \sup \{x, y\}$
  - ◆  $x \wedge y = \inf \{x, y\}$

# Proof of $x \vee y = \sup \{x, y\}$

- ◆ Consider any upper bound  $u$  for  $x$  and  $y$ .
- ◆ Given  $x \vee u = u$  and  $y \vee u = u$ ,

show  $x \vee y \leq u$ ,

i.e.,  $(x \vee y) \vee u = u$

$$u = x \vee u \quad (\text{by assumption})$$

$$= x \vee (y \vee u) \quad (\text{by assumption})$$

$$= (x \vee y) \vee u \quad (\text{by associativity})$$

# Proof of $x \wedge y = \inf \{x, y\}$

- Consider any lower bound  $l$  for  $x$  and  $y$ .
- Given  $x \wedge l = l$  and  $y \wedge l = l$ ,

show  $l \leq x \wedge y$ ,

i.e.,  $(x \wedge y) \wedge l = l$

$$l = x \wedge l$$

(by assumption)

$$= x \wedge (y \wedge l)$$

(by assumption)

$$= (x \wedge y) \wedge l$$

(by associativity)

# Chains

- ◆ A set  $S$  is a *chain* if  $\forall x, y \in S. y \leq x \text{ or } x \leq y$
- ◆  $\mathbb{P}$  has no infinite chains if every chain in  $\mathbb{P}$  is finite
- ◆  $\mathbb{P}$  satisfies the *ascending chain condition* if for all sequences  $x_1 \leq x_2 \leq \dots$  there exists  $n$  such that  $x_n = x_{n+1} = \dots$   
That is, all increasing sequences in  $\mathbb{P}$  eventually becomes constant.

# Dataflow Analysis (repetition)

- ◆ Information about a program represented using values from a *lattice* ( $\mathbb{P}$ ). Analysis propagates values through control flow graph, either forwards or backwards.
- ◆ For forward analysis:
  - ◆ Each node has a transfer function  $f$ ,
    - ◆ Input – value at program point before node.
    - ◆ Output – new value at program point after node.
  - ◆ Values flow from program points after predecessor nodes to program points before successor nodes.
  - ◆ At join points, values are combined using a merge function.



# Transfer Functions

- ◆ Assume a lattice  $\mathbb{P}$  of abstract values.
- ◆ Transfer function  $f: \mathbb{P} \rightarrow \mathbb{P}$  for each node in control flow graph.
- ◆  $f$  models the effect of the node on the program information.

# Properties of Transfer Functions

Each dataflow analysis problem has a set  $\mathbb{F}$  of transfer functions  $f:\mathbb{P}\rightarrow\mathbb{P}$

- ◆ Identity function  $i\in\mathbb{F}$
- ◆  $\mathbb{F}$  must be closed under composition:  
 $\forall f,g\in\mathbb{F}$ , the function  $h = \lambda x.f(g(x))\in\mathbb{F}$
- ◆ Each  $f\in\mathbb{F}$  must be monotone:  $x\leq y\Rightarrow f(x)\leq f(y)$
- ◆ Sometimes all  $f\in\mathbb{F}$  are distributive:  
 $f(x\vee y) = f(x)\vee f(y)$
- ◆ **Distributivity  $\Rightarrow$  monotonicity**

# Distributivity Implies Monotonicity

Proof:

◆ Assume  $f(x \vee y) = f(x) \vee f(y)$

◆ Show:  $x \vee y = y \Rightarrow f(x) \vee f(y) = f(y)$

$$f(y) = f(x \vee y) \quad (\text{by assumption})$$

$$= f(x) \vee f(y) \quad (\text{by distributivity})$$

# Forward Dataflow Analysis

- ◆ Simulates forward execution of a program
- ◆ For each node  $n$ , we have
  - $in_n$  – value at program point before  $n$
  - $out_n$  – value at program point after  $n$
  - $f_n$  – transfer function for  $n$  (given  $in_n$ , computes  $out_n$ )
- ◆ Require that solutions satisfy
  - i.  $\forall n, out_n = f_n(in_n)$
  - ii.  $\forall n \neq n_0, in_n = \vee \{ out_m \mid m \in pred(n) \}$
  - iii.  $in_{n_0} = \perp$

# Dataflow Equations

- ◆ Result is a set of dataflow equations

$$\text{out}_n := f_n(\text{in}_n)$$

$$\text{in}_n := \vee \{ \text{out}_m \mid m \in \text{pred}(n) \}$$

- ◆ Conceptually separates analysis problem from program.

# Worklist Algorithm for Solving Forward Dataflow Equations

for each  $n \in \mathbb{N}$  do  $out_n := f_n(\perp)$

$worklist := \mathbb{N}$

while  $worklist \neq \emptyset$  do:

    remove a node  $n$  from  $worklist$

$in_n := \vee \{ out_m \mid m \in pred(n) \}$

$out_n := f_n(in_n)$

    if  $out_n$  changed then

$worklist := worklist \cup succ(n)$

# Correctness Argument

Why result satisfies dataflow equations?

- ◆ Whenever we process a node  $n$ ,  
set  $out_n := f_n(in_n)$   
Algorithm ensures that  $out_n = f_n(in_n)$
- ◆ Whenever  $out_m$  changes, put  $succ(m)$  on **worklist**.  
Consider any node  $n \in succ(m)$ .  
It will eventually come off the **worklist** and the  
algorithm will set

$$in_n := \vee \{ out_m \mid m \in pred(n) \}$$

to ensure that  $in_n = \vee \{ out_m \mid m \in pred(n) \}$

# Termination Argument

Why does the algorithm terminate?

- ◆ Sequence of values taken on by  $in_n$  or  $out_n$  is a chain. If values stop increasing, the worklist empties and the algorithm terminates.
- ◆ If the lattice has the ascending chain property, the algorithm terminates
  - ◆ Algorithm terminates for finite lattices.
  - ◆ For lattices without the ascending chain property, we must use a *widening* operator.



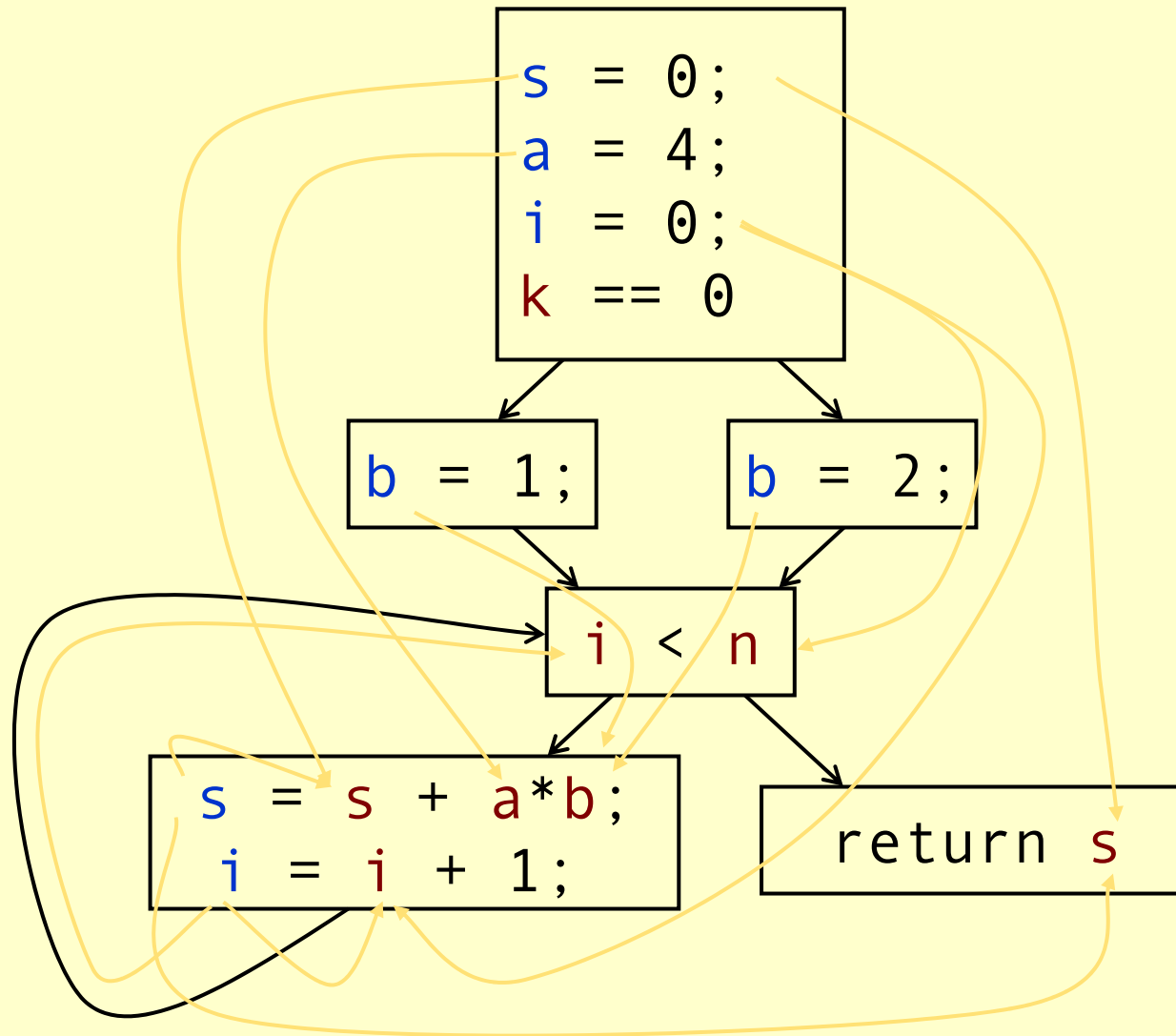
# Widening Operators

- ◆ Detect lattice values that may be part of an infinitely ascending chain.
- ◆ Artificially raise value to least upper bound of the chain.
- ◆ Example:
  - ◆ Lattice is set of all subsets of integers.
  - ◆ Widening operator might raise all sets of size  $n$  or greater to **TOP** (the set of all integers).
  - ◆ Could be used to collect possible values taken on by a variable during execution of the program.

# Reaching Definitions

- ◆ Concept of *definition* and *use*
  - ◆  $z = x + y$ 
    - ◆ is a *definition* of  $z$
    - ◆ is a *use* of  $x$  and  $y$
- ◆ A definition (**d**) reaches a use (**u**) if the value written by **d** may be read by **u**.

# Reaching Definitions



# Reaching Definitions Framework

- ◆  $\mathbb{P} = \wp$  (the powerset) of the set of definitions in the program (all subsets of the set of definitions).
- ◆  $\vee = \cup$  (order is  $\subseteq$ )
- ◆  $\perp = \emptyset$
- ◆  $\mathbb{F}$  = all functions  $f$  of the form  $f(\mathbf{x}) = \mathbf{a} \cup (\mathbf{x}-\mathbf{b})$ 
  - ◆  $\mathbf{b}$  is the set of definitions that the node kills.
  - ◆  $\mathbf{a}$  is the set of definitions that the node generates.

General pattern for many transfer functions

- ◆  $f(\mathbf{x}) = \text{GEN} \cup (\mathbf{x}-\text{KILL})$

# Does Reaching Definitions Framework Satisfy Properties?

- ◆  $\subseteq$  satisfies conditions for  $\leq$

$$x \subseteq y \text{ and } y \subseteq z \Rightarrow x \subseteq z \quad (\text{transitivity})$$

$$x \subseteq y \text{ and } y \subseteq x \Rightarrow y = x \quad (\text{asymmetry})$$

$$x \subseteq x \quad (\text{reflexivity})$$

- ◆  $\mathbb{F}$  satisfies transfer function conditions

$$\lambda x. \emptyset \cup (x - \emptyset) = \lambda x. x \in \mathbb{F} \quad (\text{identity})$$

$$\text{Will show } f(x \cup y) = f(x) \cup f(y) \quad (\text{distributivity})$$

$$\begin{aligned} f(x) \cup f(y) &= (a \cup (x - b)) \cup (a \cup (y - b)) \\ &= a \cup (x - b) \cup (y - b) \\ &= a \cup ((x \cup y) - b) \\ &= f(x \cup y) \end{aligned}$$

# Does Reaching Definitions Framework Satisfy Properties?

What about **composition**?

- ◆ Given  $f_1(x) = a_1 \cup (x - b_1)$  and  $f_2(x) = a_2 \cup (x - b_2)$
- ◆ Show  $f_1(f_2(x))$  can be expressed as  $a \cup (x - b)$

$$\begin{aligned}
 f_1(f_2(x)) &= a_1 \cup ((a_2 \cup (x - b_2)) - b_1) \\
 &= a_1 \cup ((a_2 - b_1) \cup ((x - b_2) - b_1)) \\
 &= (a_1 \cup (a_2 - b_1)) \cup ((x - b_2) - b_1) \\
 &= (a_1 \cup (a_2 - b_1)) \cup (x - (b_2 \cup b_1))
 \end{aligned}$$

Let  $a = (a_1 \cup (a_2 - b_1))$  and  $b = b_2 \cup b_1$

Then  $f_1(f_2(x)) = a \cup (x - b)$

# General Result

All GEN/KILL transfer function frameworks satisfy the properties:

- ◆ Identity
- ◆ Distributivity
- ◆ Compositionality

# Available Expressions Framework

- ◆  $\mathbb{P} = \wp$  (the powerset) of the set of all expressions in the program (all subsets of set of expressions).
- ◆  $\vee = \cap$  (order is  $\supseteq$ )
- ◆  $\perp = \wp$  (but  $\text{in}_{n0} = \emptyset$ )
- ◆  $\mathbb{F}$  = all functions  $f$  of the form
$$f(x) = a \cup (x-b).$$
  - ◆  $b$  is set of expressions that node kills.
  - ◆  $a$  is set of expressions that node generates.
- ◆ Another GEN/KILL analysis



# Concept of Conservatism

- ◆ Reaching definitions use  $\cup$  as join
  - ◆ Optimizations must take into account all definitions that reach along ANY path
- ◆ Available expressions use  $\cap$  as join
  - ◆ Optimization requires expression to reach along ALL paths
- ◆ Optimizations must conservatively take all possible executions into account.
- ◆ Structure of analysis varies according to the way the results of the analysis are to be used.

# Backward Dataflow Analysis

- Simulates execution of program backward against the flow of control.
- For each node  $n$ , we have
  - $in_n$  – value at program point before  $n$ .
  - $out_n$  – value at program point after  $n$ .
  - $f_n$  – transfer function for  $n$  (given  $out_n$ , computes  $in_n$ ).
- Require that solutions satisfy:
  - $\forall n. in_n = f_n(out_n)$
  - $\forall n \notin N_{final}. out_n = \vee \{ in_m \mid m \in succ(n) \}$
  - $\forall n \in N_{final}. out_n = \perp$

# Worklist Algorithm for Solving Backward Dataflow Equations

for each  $n \in N$  do  $in_n := f_n(\perp)$

$worklist := N$

while  $worklist \neq \emptyset$  do

    remove a node  $n$  from  $worklist$

$out_n := \vee \{ in_m \mid m \in succ(n) \}$

$in_n := f_n(out_n)$

    if  $in_n$  changed then

$worklist := worklist \cup pred(n)$

# Live Variables Analysis Framework

- ◆  $\mathbb{P}$  = powerset of the set of all variables in the program (all subsets of the set of variables).
- ◆  $\vee = \cup$  (order is  $\subseteq$ )
- ◆  $\perp = \emptyset$
- ◆  $\mathbb{F}$  = all functions  $f$  of the form  $f(x) = a \cup (x-b)$ 
  - ◆  $b$  is set of variables that the node kills.
  - ◆  $a$  is set of variables that the node reads.

# Meaning of Dataflow Results

- ◆ Connection between executions of program and dataflow analysis results.
- ◆ Each execution generates a trajectory of states:
  - ◆  $s_0; s_1; \dots; s_k$ , where each  $s_i \in \mathcal{S}$
- ◆ Map current state  $s_k$  to
  - ◆ Program point  $n$  where execution located.
  - ◆ Value  $x$  in dataflow lattice.
- ◆ Require  $x \leq \text{in}_n$

# Abstraction Function for Forward Dataflow Analysis

- ◆ Meaning of analysis results is given by an abstraction function  $AF: S \rightarrow \mathbb{P}$
- ◆ Require that for all states  $s$

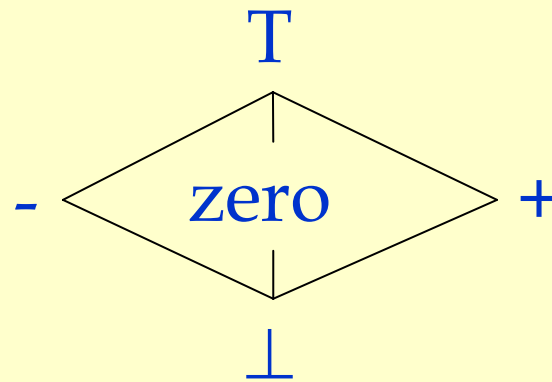
$$AF(s) \leq in_n$$

where  $n$  is the program point where the execution is located at in state  $s$ , and  $in_n$  is the abstract value before that point.

# Sign Analysis Example

**Sign analysis** - compute sign of each variable  $v$

- ◆ Base Lattice: flat lattice on  $\{-, \text{zero}, +\}$



- ◆ Actual lattice records a value for each variable
  - ◆ Example element:  $[a \rightarrow +, b \rightarrow \text{zero}, c \rightarrow -]$

# Interpretation of Lattice Values

If value of  $v$  in lattice is:

- ◆  $\perp$ : no information about the sign of  $v$ .
- ◆  $-$ : variable  $v$  is negative.
- ◆  $\text{zero}$ : variable  $v$  is 0 .
- ◆  $+$ : variable  $v$  is positive.
- ◆  $T$ :  $v$  may be positive or negative or 0.



# Operation $\otimes$ on Lattice

$\otimes$	$\perp$	-	zero	+	T
$\perp$	$\perp$	-	zero	+	T
-	-	+	zero	-	T
zero	zero	zero	zero	zero	zero
+	+	-	zero	+	T
T	T	T	zero	T	T

# Transfer Functions

Defined by structural induction on the shape of nodes:

- ◆ If  $n$  of the form  $v = c$ 
  - ◆  $f_n(x) = x[v \rightarrow +]$  if  $c$  is positive
  - ◆  $f_n(x) = x[v \rightarrow \text{zero}]$  if  $c$  is 0
  - ◆  $f_n(x) = x[v \rightarrow -]$  if  $c$  is negative
- ◆ If  $n$  of the form  $v_1 = v_2 * v_3$ 
  - ◆  $f_n(x) = x[v_1 \rightarrow x[v_2] \otimes x[v_3]]$

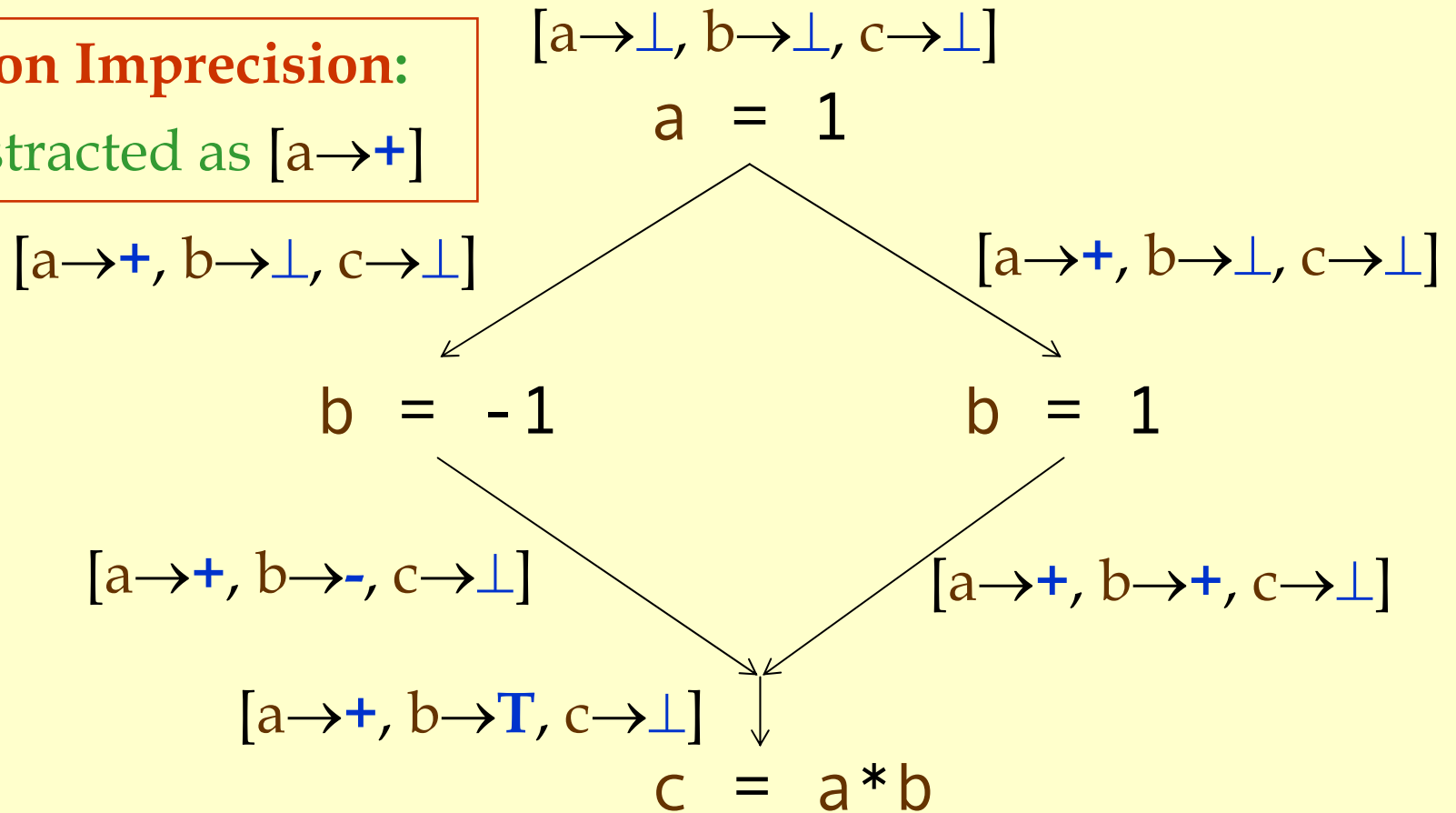
# Abstraction Function

- ◆  $AF(s)[v] = \text{sign of } v$ 
  - ◆  $AF([a \rightarrow 5, b \rightarrow 0, c \rightarrow -2]) = [a \rightarrow +, b \rightarrow \text{zero}, c \rightarrow -]$
- ◆ Establishes meaning of the analysis results
  - ◆ If analysis says a variable  $v$  has a given sign
  - ◆ then  $v$  always has that sign in actual execution.
- ◆ Two sources of imprecision
  - ◆ **Abstraction Imprecision** – concrete values (integers) abstracted as lattice values ( $-$ , **zero**, and  $+$ );
  - ◆ **Control Flow Imprecision** – one lattice value for all different flow of control possibilities.

# Imprecision Example

**Abstraction Imprecision:**

$[a \rightarrow 1]$  abstracted as  $[a \rightarrow +]$



**Control Flow Imprecision:**

$[b \rightarrow T]$  summarizes results of all executions.

In any execution state  $s$ ,  $AF(s)[b] \neq T$

$[a \rightarrow +, b \rightarrow T, c \rightarrow T]$

# General Sources of Imprecision

- ◆ **Abstraction Imprecision**
  - ◆ Lattice values less precise than execution values.
  - ◆ Abstraction function throws away information.
- ◆ **Control Flow Imprecision**
  - ◆ Analysis result has a single lattice value to summarize results of multiple concrete executions.
  - ◆ Join operation  $\vee$  moves up in lattice to combine values from different execution paths.
  - ◆ Typically if  $x \leq y$ , then  $x$  is more precise than  $y$ .

# Why Have Imprecision?

**ANSWER:** To make analysis tractable

- ◆ Conceptually infinite sets of values in execution.
  - ◆ Typically abstracted by finite set of lattice values.
- ◆ Execution may visit infinite set of states.
  - ◆ Abstracted by computing joins of different paths.

# Augmented Execution States

- ◆ Abstraction functions for some analyses require augmented execution states.
  - ◆ **Reaching definitions**: states are augmented with the definition that created each value.
  - ◆ **Available expressions**: states are augmented with expression for each value.

# Meet Over All Paths Solution

- ◆ What solution would be ideal for a forward dataflow analysis problem?
- ◆ Consider a path  $p = n_0, n_1, \dots, n_k, n$  to a node  $n$  (note that for all  $i, n_i \in \text{pred}(n_{i+1})$ )
- ◆ The solution must take this path into account:

$$f_p(\perp) = (f_{n_k}(f_{n_{k-1}}(\dots f_{n_1}(f_{n_0}(\perp)) \dots))) \leq \text{in}_n$$

- ◆ So the solution must have the property that

$$\bigvee \{f_p(\perp) \mid p \text{ is a path to } n\} \leq \text{in}_n$$

and ideally

$$\bigvee \{f_p(\perp) \mid p \text{ is a path to } n\} = \text{in}_n$$



# Soundness Proof of Analysis Algorithm

Property to prove:

For all paths  $p$  to  $n$ ,  $f_p(\perp) \leq \text{in}_n$

- ◆ Proof is by induction on the length of  $p$ .
  - ◆ Uses monotonicity of transfer functions.
  - ◆ Uses following lemma.

Lemma:

The worklist algorithm produces a solution such that

if  $n \in \text{pred}(m)$  then  $\text{out}_n \leq \text{in}_m$

(That is, what you get out of a predecessor is more precise than what will go in to the node, because precision may be lost by the join function.)

# Proof

- ◆ Base case:  $p$  is of length 0
  - ◆ Then  $p = n_0$  and  $f_p(\perp) = \perp = \text{in}_{n_0}$
- ◆ Induction step:
  - ◆ Assume theorem for all paths of length  $k$ .
  - ◆ Show for an arbitrary path  $p$  of length  $k+1$ .

# Induction Step Proof

- ◆ Given a path  $p = n_0, \dots, n_k, n$  show  $(f_{n_k}(f_{n_{k-1}}(\dots f_{n_1}(f_{n_0}(\perp)) \dots))) \leq \text{in}_n$

By induction assumption:

$$(f_{n_{k-1}}(\dots f_{n_1}(f_{n_0}(\perp)) \dots)) \leq \text{in}_{n_k}$$

Apply  $f_{n_k}$  to both sides:

$$f_{n_k}(f_{n_{k-1}}(\dots f_{n_1}(f_{n_0}(\perp)) \dots)) \quad ? \quad f_{n_k}(\text{in}_{n_k})$$

By monotonicity:

$$(f_{n_k}(f_{n_{k-1}}(\dots f_{n_1}(f_{n_0}(\perp)) \dots))) \leq f_{n_k}(\text{in}_{n_k})$$

By definition of  $f_{n_k}$ :  $f_{n_k}(\text{in}_{n_k}) = \text{out}_{n_k}$

$$(f_{n_k}(f_{n_{k-1}}(\dots f_{n_1}(f_{n_0}(\perp)) \dots))) \leq \text{out}_{n_k}$$

By lemma:  $\text{out}_{n_k} \leq \text{in}_n$

By transitivity:

$$(f_{n_k}(f_{n_{k-1}}(\dots f_{n_1}(f_{n_0}(\perp)) \dots))) \leq \text{in}_n$$

# Distributivity

- ◆ Distributivity preserves precision.
- ◆ If framework is distributive, then the worklist algorithm produces the meet over paths solution:

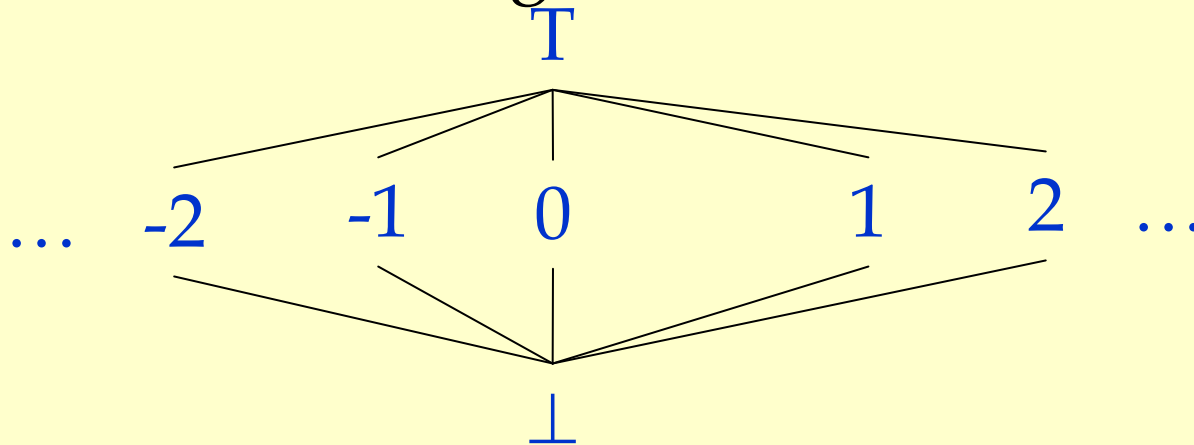
For all  $n$ :

$$\bigvee \{f_p(\perp) \mid p \text{ is a path to } n\} = in_n$$

# Lack of Distributivity Example

## Integer Constant Propagation (ICP)

- ◆ Flat lattice on integers

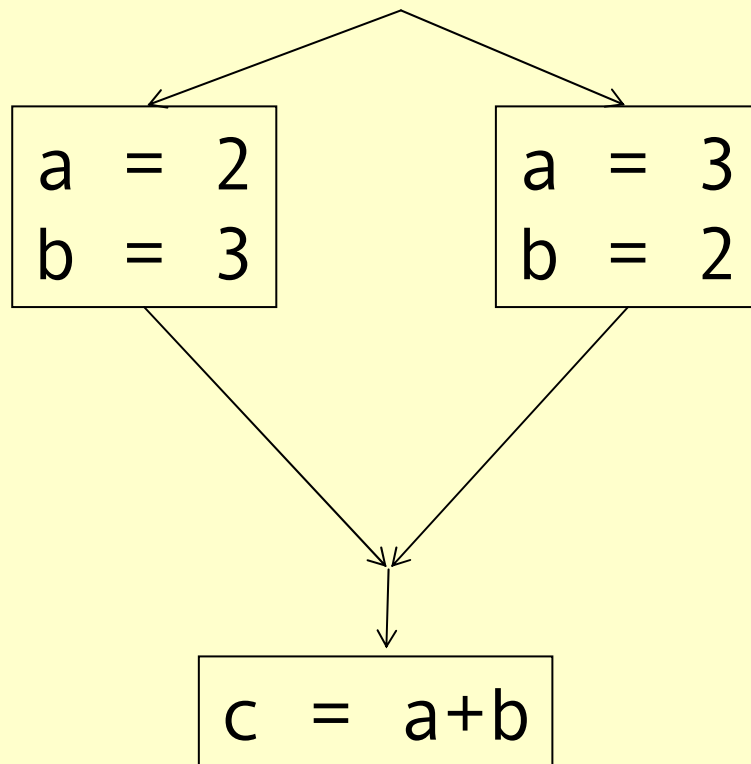


- ◆ Actual lattice records a value for each variable
  - ◆ Example element:  $[a \rightarrow 3, b \rightarrow 2, c \rightarrow 5]$

# Transfer Functions

- ◆ If  $n$  of the form  $v = c$ 
  - ◆  $f_n(x) = x[v \rightarrow c]$
- ◆ If  $n$  of the form  $v_1 = v_2 + v_3$ 
  - ◆  $f_n(x) = x[v_1 \rightarrow x[v_2] + x[v_3]]$

# Lack of Distributivity Anomaly

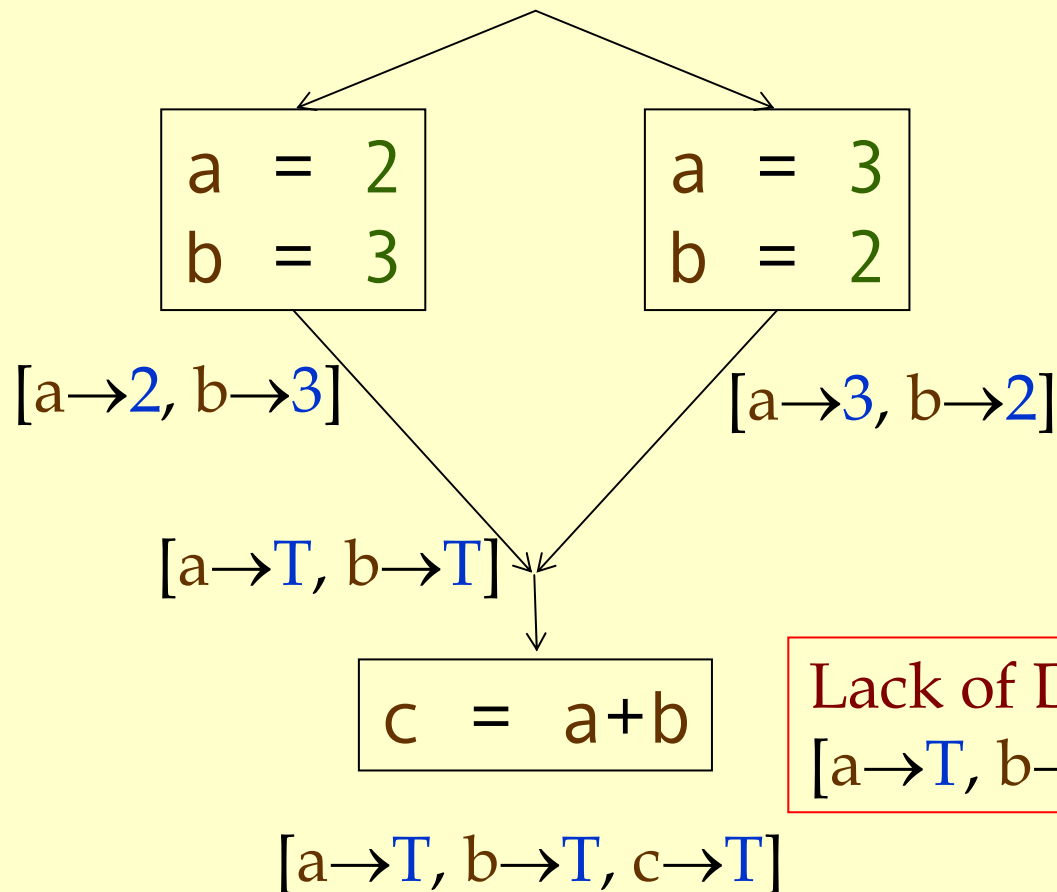


# Lack of distributivity of ICP

- ◆ Consider transfer function  $f$  for  $c = a + b$   
 $(f(x) = x[c \rightarrow x[a] + x[b]])$
- ◆  $f([a \rightarrow 3, b \rightarrow 2]) \vee f([a \rightarrow 2, b \rightarrow 3]) =$   
 $[a \rightarrow 3, b \rightarrow 2] [c \rightarrow [a \rightarrow 3, b \rightarrow 2][a] + [a \rightarrow 3, b \rightarrow 2][b]] \vee$   
 $[a \rightarrow 2, b \rightarrow 3] [c \rightarrow [a \rightarrow 2, b \rightarrow 3][a] + [a \rightarrow 2, b \rightarrow 3][b]] =$   
 $[a \rightarrow 3, b \rightarrow 2] [c \rightarrow 3 + 2] \vee [a \rightarrow 2, b \rightarrow 3] [c \rightarrow 2 + 3] =$   
 $[a \rightarrow 3, b \rightarrow 2] [c \rightarrow 5] \vee [a \rightarrow 2, b \rightarrow 3] [c \rightarrow 5] =$   
 $[a \rightarrow T, b \rightarrow T, c \rightarrow 5]$
- ◆  $f([a \rightarrow 3, b \rightarrow 2] \vee [a \rightarrow 2, b \rightarrow 3]) =$   
 $f([a \rightarrow T, b \rightarrow T]) =$   
 $[a \rightarrow T, b \rightarrow T] [c \rightarrow [a \rightarrow T, b \rightarrow T][a] + [a \rightarrow T, b \rightarrow T][b]] =$   
 $[a \rightarrow T, b \rightarrow T, c \rightarrow T]$



# Lack of Distributivity Anomaly



Lack of Distributivity Imprecision:  
 $[a \rightarrow T, b \rightarrow T, c \rightarrow 5]$  more precise.

# Summary

- ◆ Formal dataflow analysis framework
  - ◆ Lattices, partial orders.
  - ◆ Transfer functions, joins and splits.
  - ◆ Dataflow equations and fixed point solutions.
- ◆ Connection with program
  - ◆ Abstraction function  $AF: \mathcal{S} \rightarrow \mathcal{P}$
  - ◆ For any state  $s$  and program point  $n$ ,  $AF(s) \leq in_n$
  - ◆ Meet over paths solutions, distributivity.