

Week 2 : Evaluating a Function Application (Review)

A simple rule : One evaluates a function application $f(e_1, \dots, e_n)$

- by evaluating the expressions e_1, \dots, e_n resulting in the values v_1, \dots, v_n , then
- by replacing the application with the body of the function f , in which
- the actual parameters v_1, \dots, v_n replace the formal parameters of f .

This can be formalized as a *rewriting of the program itself*:

```
def f(x1, ..., xn) = B ; ... f(v1, ..., vn)  
→  
def f(x1, ..., xn) = B ; ... [v1/x1, ..., vn/xn] B
```

Here, $[v_1/x_1, \dots, v_n/x_n] B$ denotes the expression B in which all occurrences of x_i have been replaced by v_i .

$[v_1/x_1, \dots, v_n/x_n]$ is called a *substitution*.

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Example of rewriting:

Consider *gcd*:

```
def gcd(a : Int, b : Int) : Int = if (b == 0) a else gcd(b, a % b)
```

gcd(14, 21) Evaluated as follows :

```
gcd(14, 21)  
→ if (21 == 0) 14 else gcd(21, 14 % 21)  
→ if (false) 14 else gcd(21, 14 % 21)  
→ gcd(21, 14 % 21)  
→ gcd(21, 14)  
→ if (14 == 0) 21 else gcd(14, 21 % 14)  
→ gcd(14, 21 % 14)  
→ gcd(14, 7)  
→ if (7 == 0) 14 else gcd(7, 14 % 7)  
→ gcd(7, 14 % 7)  
→ gcd(7, 0)  
→ if (0 == 0) 7 else gcd(0, 7 % 0)  
→ 7
```

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Another example of rewriting:

Consider *factorial*:

```
def factorial(n : Int) : Int = if (n == 0) 1 else n * factorial(n - 1)
```

factorial(5) can then be rewritten as follows:

```
factorial(5)  
→ if (5 == 0) 1 else 5 * factorial(5 - 1)  
→ 5 * factorial(5 - 1)  
→ 5 * factorial(4)  
→ ... → 5 * (4 * factorial(3))  
→ ... → 5 * (4 * (3 * factorial(2)))  
→ ... → 5 * (4 * (3 * (2 * factorial(1))))  
→ ... → 5 * (4 * (3 * (2 * (1 * factorial(0))))  
→ ... → 5 * (4 * (3 * (2 * (1 * 1))))  
→ ... → 120
```

What are the differences between the two rewritten sequences?

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Tail Recursion

Implementation Detail : If a function calls itself as its last action, the function's stack frame can be reused. This is called *tail recursion*.

⇒ Tail recursive functions are iterative processes.

In general, if the last action of a function consists of calling a function (which may be the same), one stack frame is sufficient for both functions. Such calls are called, *tail-calls*.

Exercise: Design a tail recursive version of *factorial*.

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Value Definitions

- A definition

```
def f = expr
```

introduces f as a name for the *expression* $expr$.

- $expr$ will be evaluated each time that f is used.
- In other words, **def** f introduces a function without parameters.
- By comparison, a value definition

```
val x = expr
```

introduces x as a name for the *value* of an expression $expr$.

- $expr$ will be evaluated once, at the point of definition of the value.

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Example:

```
scala> val x = 2
x: Int = 2
scala> val y = square(x)
y: Int = 4
scala> y
res0: Int = 4
```

Example:

```
scala> def loop: Int = loop
loop: Int
scala> val x: Int = loop           (infinite loop)
^C
```

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Higher-Order Functions

Functional languages treat functions as *first-class values*.

This means that, like any other value, a function can be passed as a parameter and returned as a result.

This provides a flexible way to compose programs.

Functions that take other functions as parameters or that return functions as results are called *higher order functions*.

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Example:

Take the sum of the integers between a and b :

```
def sumInts(a: Int, b: Int): Double =
  if (a > b) 0 else a + sumInts(a + 1, b)
```

Take the sum of the cubes of all the integers between a and b :

```
def cube(x: Int): Double = x * x * x
def sumCubes(a: Int, b: Int): Double =
  if (a > b) 0 else cube(a) + sumCubes(a + 1, b)
```

Take the sum of the reciprocals of the integers between a and b :

```
def sumReciprocals(a: Int, b: Int): Double =
  if (a > b) 0 else 1.0 / a + sumReciprocals(a + 1, b)
```

These are special cases of $\sum_{n=a}^b f(n)$ for different values of f .

Can we factor out the common pattern?

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Summing with Higher-Order Functions

We define:

```
def sum(f: Int => Double, a: Int, b: Int): Double = {
  if (a > b) 0
  else f(a) + sum(f, a + 1, b)
}
```

We can then write:

```
def sumInts(a: Int, b: Int): Double = sum(id, a, b)
def sumCubes(a: Int, b: Int): Double = sum(cube, a, b)
def sumReciprocals(a: Int, b: Int): Double = sum(reciprocal, a, b)
```

where

```
def id(x: Int): Double = x
def cube(x: Int): Double = x * x * x
def reciprocal(x: Int): Double = 1.0/x
```

The type $\text{Int} \Rightarrow \text{Double}$ is the type of a function that takes one argument of type Int and returns a result of type Double .

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Anonymous Functions

- Passing functions as parameters leads to the creation of many small functions.
- Sometimes it is cumbersome to have to define (and name) these functions using **def**.
- A shorter notation makes use of *anonymous functions*.
- Example: A function that raises its argument to a cube is written,
 $(x: \text{Int}) \Rightarrow x * x * x$

Here, $x: \text{Int}$ is the **parameter** of the function, and $x * x * x$ is its **body**.

- The type of the parameter can be omitted if it can be inferred (by the compiler) from the context.

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Anonymous Functions are Syntactic Sugar

- In general, $(x_1: T_1, \dots, x_n: T_n) \Rightarrow E$ is a function that relates the result of the expression E to the parameters x_1, \dots, x_n (such that E can refer to x_1, \dots, x_n).
- An anonymous function $(x_1: T_1, \dots, x_n: T_n) \Rightarrow E$ can always be expressed by using **def** as follows:

```
{ def f(x1: T1, ..., xn: Tn) = E; f }
```

where f is a fresh name (not yet used in the program).

- We say that anonymous functions are *syntactic sugar*.

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Summation with Anonymous Functions

We can now write it in a shorter way:

```
def sumInts(a: Int, b: Int): Double = sum(x => x, a, b)
def sumCubes(a: Int, b: Int): Double = sum(x => x * x * x, a, b)
def sumReciprocals(a: Int, b: Int): Double = sum(x => 1.0/x, a, b)
```

Can we still do better by getting rid of a and b since we only pass them to the *sum* function without actually using them?

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Currying

We rewrite `sum` as follows.

```
def sum(f: Int => Double): (Int, Int) => Double = {  
  def sumF(a: Int, b: Int): Double =  
    if (a > b) 0  
    else f(a) + sumF(a + 1, b)  
  sumF  
}
```

- `sum` is now a function that returns another function. More precisely, the specialized sum function `sumF` applies the function and sums the results. We can then define:

```
def sumInts = sum(x => x)  
def sumCubes = sum(x => x * x * x)  
def sumReciprocals = sum(x => 1.0/x)
```

- These functions can be applied like the other functions:

```
scala> sumCubes(1, 10) + sumReciprocals(10, 20)
```

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Curried Application

How do we apply a function that returns a function?

Example:

```
scala> sum (cube) (1, 10)  
3025.0
```

- `sum (cube)` applies `sum` to `cube` and returns the *sum of cubes* function (`sum(cube)` is therefore equivalent to `sumCubes`).
- This function is next applied to the arguments `(1, 10)`.
- Consequently, function application associates to the left:

$$\text{sum}(\text{cube})(1, 10) == (\text{sum}(\text{cube}))(1, 10)$$

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Definition of Currying

The definition of functions that return functions is so useful in functional programming (FP) that there is a special syntax for it in Scala.

For example, the following definition of `sum` is equivalent to what we saw before, but shorter:

```
def sum(f: Int => Double)(a: Int, b: Int): Double =  
  if (a > b) 0 else f(a) + sum(f)(a + 1, b)
```

In general, a definition of a curried function

```
def f (args1) ... (argsn) = E
```

where $n > 1$, is equivalent to

```
def f (args1) ... (argsn-1) = ( def g (argsn) = E ; g )
```

where `g` is a fresh identifier.

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Or for short:

```
def f (args1) ... (argsn-1) = ( argsn => E )
```

By repeating the process n times

```
def f (args1) ... (argsn-1) (argsn) = E
```

becomes equivalent to

```
def f = (args1 => ( args2 => ... ( argsn => E ) ... ))
```

This style of definition and function application is called *currying*, named for its instigator, Haskell Brooks Curry (1900-1982), a twentieth century logician.

In fact, the idea goes back to Moses Schönfinkel, but the word “currying” has won (perhaps because “schönfinkeling” is more difficult to pronounce).

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Function Types

Question : Given,

```
def sum(f: Int => Double)(a: Int, b: Int): Double = ...
```

What is the type of *sum* ?

Note that functional types associate to the right. That is to say that

$$\text{Int} \Rightarrow \text{Int} \Rightarrow \text{Int}$$

is equivalent to

$$\text{Int} \Rightarrow (\text{Int} \Rightarrow \text{Int})$$

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Exercises:

1. The *sum* function uses linear recursion. Can you write a tail-recursive version by replacing the ???

```
def sum(f: Int => Double)(a: Int, b: Int): Double = {  
  def iter(a: Int, result: Double): Double = {  
    if (??) ??  
    else iter(??, ??)  
  }  
  iter(??, ??)  
}
```

2. Write a *product* function that calculates the product of the values of a function for the points on a given interval.

3. Write *factorial* in terms of *product*.

4. Can you write a more general function, which generalizes both *sum* and *product* ?

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Find the fixed points of a function

- A number x is called a *fixed point* of a function f if

$$f(x) = x$$

- For some functions, f we can locate the fixed points by starting with an initial estimate and then by applying f in a repetitive way.

$x, f(x), f(f(x)), f(f(f(x))), \dots$

until the value does not vary anymore (or the change is sufficiently small).

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This leads to the following function for finding a fixed point:

```
val tolerance = 0.0001  
def isCloseEnough(x: Double, y: Double) = abs((x - y) / x) < tolerance  
def fixedPoint(f: Double => Double)(firstGuess: Double) = {  
  def iterate(guess: Double): Double = {  
    val next = f(guess)  
    if (isCloseEnough(guess, next)) next  
    else iterate(next)  
  }  
  iterate(firstGuess)  
}
```

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Return to Square Roots

Here is a *specification* of the function, `sqrt`.

```
sqrt(x) = the number y such that y * y = x
         = the number y such that y = x / y
```

Consequently, `sqrt(x)` is a fixed point function ($y \Rightarrow x / y$).

This suggests to calculate `sqrt(x)` by iteration towards a fixed point:

```
def sqrt(x: Double) =
  fixedPoint(y => x / y)(1.0)
```

Unfortunately it does not converge. If we add a print instruction to the function `fixedPoint` so we can follow the current value of `guess`, we get:

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```
def fixedPoint(f: Double => Double)(firstGuess: Double) = {
  def iterate(guess: Double): Double = {
    val next = f(guess)
    println(next)
    if (isCloseEnough(guess, next)) next
    else iterate(next)
  }
  iterate(firstGuess)
}
```

`sqrt(2)` then produces:

```
2.0
1.0
2.0
1.0
2.0
...
```

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One way to control such oscillations is to prevent the estimation from varying too much. This is done by *averaging* successive values of the original sequence:

```
scala> def sqrt(x: Double) = fixedPoint(y => (y + x / y) / 2)(1.0)
scala> sqrt(2.0)
1.5
1.4166666666666665
1.4142156862745097
1.4142135623746899
1.4142135623746899
```

In fact, if we fold the fixed point function `fixedPoint` we find the same square root function that we found last week.

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Functions as Return Values

- The previous examples have shown that the expressive power of a language is greatly increased if we can pass function arguments.
- The following example shows that functions that return functions can also be very useful.
- Consider again iteration towards a fixed point.
- We begin by observing that \sqrt{x} is a fixed point of the function.
 $y \Rightarrow x / y$.
- Then, the iteration converges by averaging successive values.
- This technique of *stabilizing by averaging* is general enough to merit being in an abstract function.

```
def averageDamp(f: Double => Double)(x: Double) = (x + f(x)) / 2
```

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- using *averageDamp*, we can reformulate the square root function as follows.

```
def sqrt(x: Double) = fixedPoint(averageDamp(y => x/y))(1.0)
```

- This expresses the elements of the algorithm as clearly as possible.

Exercise: Write a square root function by using *fixedPoint* and *averageDamp*.

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Résumé

- We saw last week that the functions are essential abstractions because they allow us to introduce general methods to perform computations as explicit and named elements in our programming language.
- This week, we've seen that these abstractions can be combined with higher-order functions to create new abstractions.
- As a programmer, one must look for opportunities to abstract and reuse.
- The highest level of abstraction is not always the best, but it is important to know the techniques of abstraction, so as to use them when appropriate.

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Language Elements Seen So Far

- We have seen the language elements to express types, expressions and definitions.
- Below, we give their context-free syntax in Extended Backus-Naur form (EBNF), where '|' denotes an alternative, [...] an option (0 or 1), an {...} a repetition (0 or more).

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Types :

```
Type           = SimpleType | FunctionType
FunctionType   = SimpleType '=>' Type | '(' [Types] ')' '=>' Type
SimpleType     = Byte | Short | Char | Int | Long | Double | Float
               | Boolean | String
Types           = Type '{',' Type}
```

A type can be:

- A numeric type: *Int*, *Double* (and *Byte*, *Short*, *Char*, *Long*, *Float*),
- The *Boolean* type with the values **true** and **false**,
- The *String* type,
- A functional type: *Int => Int*, *(Int, Int) => Int*.

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Expressions:

```
Expr      = InfixExpr | FunctionExpr | if '(' Expr ')' Expr else Expr
InfixExpr = PrefixExpr | InfixExpr Operator InfixExpr
Operator  = ident
PrefixExpr = ['+' | '-' | '!' | '^'] SimpleExpr
SimpleExpr = ident | literal | SimpleExpr '.' ident | Block
FunctionExpr = Bindings '=>' Expr
Bindings   = ident [':' SimpleType] | '(' [Binding {' Binding}] ')'
Binding    = ident [':' Type]
Block      = '{' {Def ';' } Expr '{'
```

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An expression can be:

- An identifier such as *x*, *isGoodEnough*,
- A literal, like *0*, *1.0*, *"abc"*,
- A function application, like *sqrt(x)*,
- An operator application, like *-x*, *y + x*,
- A selection, like *Console.println*,
- A conditional expression, like **if** (*x < 0*) *-x* **else** *x*,
- A block, like { **val** *x* = *abs(y)* ; *x * 2* }
- An anonymous function, like (*x* => *x + 1*).

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Definitions:

```
Def       = FunDef | ValDef
FunDef    = def ident ['(' [Parameters] ')'] [':' Type] '=' Expr
ValDef    = val ident [':' Type] '=' Expr
Parameter = ident ':' [ '=' ] Type
Parameters = Parameter { ',' Parameter }
```

A definition can be:

- A function definition like **def** *square(x: Int) = x * x*
- A value definition like **val** *y = square(2)*

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