# The jdk2ikvm source-to-source converter

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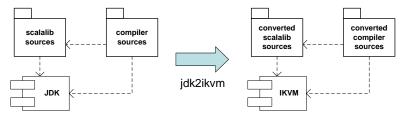
#### Abstract

Our previous prototype (in the form of a patched compiler) applied the JDK to IKVM conversion on the fly, emitting .NET assemblies as output. That division of labor turned out to be inflexible (Sec. 8.2).

Thus we factor out the JDK-to-IKVM functionality into a compiler plugin (jdk2ikvm) that outputs Scala.NET source files, given JDK-based counterparts as input. The Scala.NET compiler compiles them as usual (IKVM-dependencies are now explicit in source code, and the IKVM library can be linked as any other). After removing the special-casing for IKVM, the original architecture of scalac is gained back, sharing again most of the codebase between the JVM and .NET backends. As before, scalac can also run as a cross-compiler.

We field-test scalac and jdk2ikvm for bootstrapping, which comprises:

- output Scala.NET sources from unmodified JDK-based trunk sources
- let the cross-compiler produce scalacompiler.exe from them
- from then on, use scalacompiler.exe (not the cross-compiler) to compile the output of jdk2ikvm



*Nota bene*: we refer to jdk2ikvm as a source-to-source converter to emphasize its input-output behavior, however it does not limit itself to surface syntax, operating instead on typed ASTs that are later pretty-printed.

Nota bene 2: In more detail, given ASTs typed in forJVM mode, the plugin trades some subtrees for *untyped parse trees*. Once pretty-printed, they are compiled (and thus typecheckd) in forMSIL mode. jdk2ikvm does not re-type after transform (it can't retype its own output: the IKVM library is a .dll, not a .jar).

Sec. 1.3 covers possibilities opened up by jdk2ikvm as blueprint for other language-aware pre-processors for Scala, and in connection with Scalify, a prototype  $Java \rightarrow Scala$  converter.

An accompanying document, Learning and doing scalac transformations the easy way: via  $unparsing^1$ , describes unparsing in more detail.

 $<sup>\</sup>label{eq:lambda} {}^{l} \mbox{http://lamp.epfl.ch/~magarcia/ScalaCompilerCornerReloaded/2010Q4/Unparsing.pdf} \\ pdf$ 

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### 1 Intro

We reuse file utilities adapted from Mark Harra's Scala X-Ray, http://www.scala-lang.org/node/1509, to interact with the compiler and to output a tree of source files whose structure mirrors that of the input.

And of course we build upon scalac's extensible support for tree traversing, transformation, and building.

At a high level, the jdk2ikvm transformation is quite concise:

```
def generateOutput()
{
       for(unit <- currentRun.units)</pre>
         BlockFlattener.flattenBlocks(unit)
         val noOfChanges = if(justUnparse) 0
                         else rewriteTrees(unit)
         val sourceFile = unit.source.file.file
         val relativeSourcePath = getRelativeSourcePath(sourceFile)
         val outputFile = new File(outputDirectory.get, relativeSourcePath)
         outputFile.getParentFile.mkdirs()
         if ( justUnparse || (noOfChanges > 0) ) {
           val refactoredTile = UnparseTreeFolder.xform(unit.body)
           unparse.TilePrinter.writeTo(refactoredTile, outputFile)
         } else {
       val f: java.io.File = unit.source.file.file
       FileUtil.write(new java.io.FileInputStream(f), outputFile)
     }
       }
}
```

As can be seen, all transformations are applied locally to subtrees within the current compilation unit, yet require in general knowledge about types in all compilation units processed in the compiler run (thus, we run after refchecks). No re-typing is performed after transformation, just pretty-printing.

#### 1.1 How to build jdk2ikvm

To build jdk2ikvm from sources:

- compile all Scala source files from http://lampsvn.epfl.ch/trac/scala/ browser/scala-experimental/trunk/jdk2ikvm
- 2. say the resulting classfiles are found at myplugins\jdk2ikvm\classes
- 3. prepare the jdk2ikvm.jar

```
del jdk2ikvm.jar
jar -cf jdk2ikvm.jar -C myplugins\jdk2ikvm\classes scala -C myplugins\jdk2ikvm\resources\ .
```

4. where myplugins\jdk2ikvm\resources contains the plugin manifest scalac-plugin.xml

```
<plugin>
<name>jdk2ikvm</name>
```

```
<classname>scala.tools.jdk2ikvm.JDK2IKVMPlugin</classname>
</plugin>
```

5. that's it.

### 1.2 How to run

Regarding command-line options, the following are needed:

```
-Ystop:superaccessors /*- given that the plugin runs right after typer */
-sourcepath bla\bla\src
-P:jdk2ikvm:output-directory:bla\bla\out
-Xplugin where\to\find\jdk2ikvm.jar
```

#### 1.3 Possibilities opened up by jdk2ikvm

jdk2ikvm opens up intriguing possibilities in connection with Scalify, a tool to automatically translate from Java to Scala:

- http://wiki.jvmlangsummit.com/Scalify
- http://video.google.com/videoplay?docid=-3493190786110154189#

Additionally, jdk2ikvm can also serve as blueprint for other *language-aware* pre-processors (as opposed to typing-oblivious pre-processors limited to macro expansions and the like). As a more encompassing example, the mythical  $Scala.NET \rightarrow C\#$  converter also fits in this category: http://lamp.epfl.ch/~magarcia/ScalaCompilerCornerReloaded/2010Q2/threeaddress.pdf

# 2 Under the hood

At its core, jdk2ikvm applies a pipeline of AST transformers just like the foldLeft example below shows for a few transformers (strObjTransformer, addMissingObjectContract etc.):

where a Transformer.transform does *not* perform an in-place destructive update but returns instead tree only whose changed nodes are new. An excursion about this appears in Sec. 2.1.

Before diving into IKVM-specific transforms, you might want to take a look at a more gentle introduction to AST rewriting:

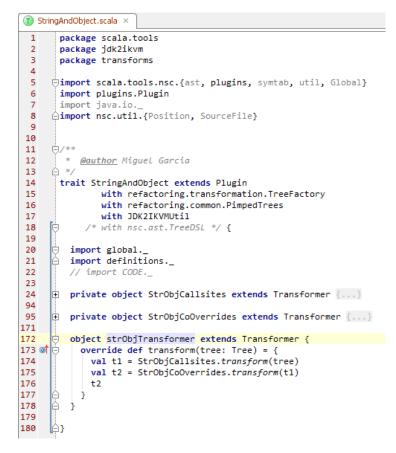


Figure 1: One way to compose transformers

#### "Constant Folding Redux", http://www.sts.tu-harburg.de/people/mi. garcia/ScalaCompilerCorner/ReduxReport.pdf

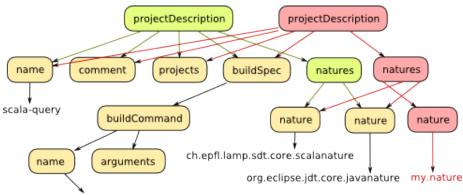
Coming back to jdk2ikvm, each element in the pipeline is realized by its own trait to simplify development, although they all need be applied if the output sources are to abide by IKVM usage rules.

The foldLeft above showed one way to apply transformers in sequence, while Figure 1 shows another way (a single transformer may compose others). The snippet collapses the source code of a transformer that rewrites some callsites (StrObjCallsites) and another that adds co-overrides. When rewriteTrees invokes strObjTransformer.transform(Tree), both of them are invoked.

#### 2.1 Transforming trees without destructive updates

Although not framed in the context of scalac ASTs, the following (visual!) depiction conveys the idea quite effectively (Figure 2):

In recent discussions on the scala-internals and scala-xml mailing lists, there were calls for a mutable, DOM-like XML model, where nodes hold references to their parent element, so that all standard



ch.epfl.lamp.sdt.core.scalabuilder

Figure 2: A Zipper for scala.xml, reproduced from http://szeiger.de/blog/ 2009/12/27/a-zipper-for-scala-xml/

XPath axes can be supported. In this post Id like to present a different representation which is based on the immutable and persistent scala.xml model, is completely immutable itself, yet allows navigation along all axes and "mutation". It is based on the Zipper technique which was first described by Gérard Huet

http://szeiger.de/blog/2009/12/27/a-zipper-for-scala-xml/

# 3 Big picture of the conversion recipe

A summary description of each set of transformations will be added as this document progresses, in the meantime the most complete description can be found in previous write-ups at *The Scala Compiler Corner (Reloaded)*, in particular:

- "Mental adjustments demanded by IKVM's Object Model Mapping" (October 2010), http://lamp.epfl.ch/~magarcia/ScalaCompilerCornerReloaded/ 2010Q4/ikvmify2.pdf
- "Transforming JDK-based Scala sources to use IKVM instead" (September 2010), http://lamp.epfl.ch/~magarcia/ScalaCompilerCornerReloaded/ 2010Q3/ikvmcMining.pdf

# 4 Transforms for the String and Object contracts

These transformations comprise:

- callsites that originally targeted instance methods are rewritten to target static helpers instead ("instancehelpers")
- instantiations (but not super constructor invocations) are rewritten to invoke "newhelpers"
- co-overrides are added for the following non-sealed methods in j.1.Object:

```
val t = msym.name match {
  case nme.hashCode_ => callerForSibling(dd, "GetHashCode")
  case nme.toString_ => callerForSibling(dd, "ToString")
  case nme.equals_ => callerForSibling(dd, "Equals")
  case nme.finalize_ => callerForSibling(dd, "Finalize")
}
```

- type references to j.l.{String, Object} are rewritten to scala.{String, Object}.
  - in particular, in extends clauses, j.1.Object type references are rewritten to System.Object
  - the following occurrence of java.lang.String as RHS in a type alias in Predef.scala:

type String = java.lang.String

should be rewritten as:

type String = System.String

TODO: AddMissingJLObjOverrides TODO: remember that super.hashCode had to be rewritten?

# 5 Magic for interfaces

The transformations around interfaces are grouped into "*extra interfaces*" (Sec. 5.1), "*implied interfaces*" (Sec. 5.2), and "*upcast to extra*" (Sec. 5.3).

#### 5.1 Extra interfaces

There's only one pair (JDK interface, extra .NET interface) to transform:

- java.lang.Comparable
- System.IComparable

A template that explicitly lists j.l.Comparable in its extends clause will get a DefDef added to its body:

override def CompareTo(that: Object) = this.compareTo(that)

and that's it (no interface needs to be added, because the extra interface is already inherited).

#### 5.1.1 Examples: anonymous class

```
val myComparable = new Comparable[String] {
  def compareTo(that: String) = 1
}
```

```
val myComparable: java.lang.Object with java.lang.Comparable[String] = {
    new $anon
    class $anon extends System.Object with java.lang.Comparable[String] {
        override def CompareTo(that: String ) = this.compareTo(that)
        def compareTo(that: scala.String ): Int = 1
    }
}
```

### 5.2 Implied interfaces

A template that explicitly lists in its extends clause one of the interfaces:

- j.l.Iterable
- j.l.Closeable

gets another interface added to that list, resp.:

- System.Collections.IEnumerable
- System.IDisposable

as well as a DefDef added to its body (as shown below) delegating to the developer-provided override, as shown below.

In the case of j.l.Iterable, that override is called not directly but from an IKVM-provided helper:

```
override def GetEnumerator = { new ikvm.lang.IterableEnumerator(this) }
```

```
override def Dispose = { this.close }
```

### 5.3 Upcast to extra interface

Two transformations are performed here:

#### 5.3.1 String comparison semantics

```
receiver.compareTo(that)
-->
java.lang.Comparable.__Helper.compareTo(receiver, that)
```

When given a System.IComparable object x, x could be in particular a System.String posing for a java.lang.String, and therefore<sup>2</sup>:

Java's String.compareTo is specified more strictly than Comparable.compareTo. The interface method simply returns zero, positive, or negative integer, but the String version [should] return the difference between the mismatching characters (or zero, if the strings match.) To handle this correctly, when you call Comparable.compareTo, you're actually

<sup>&</sup>lt;sup>2</sup>http://weblog.ikvm.net/PermaLink.aspx?guid=db9ee4f9-3b84-40a3-ac53-acb5e27ddf19

calling a static method Comparable.\_\_Helper.compareTo that first does a check to see if the object you're comparing is a String and, if so, it calls a static helper method that implements the specified Java comparison algorithm.

#### 5.3.2 Rewrite standalone type refs

java.lang.Comparable --> System.IComparable

For interoperability, System.IComparable (i.e., the extra interface) should be favored (in explicit type references) over java.lang.Comparable (i.e., the JDK interface that carries the extra baggage). That way, existing .NET binaries unaware about IKVM can interoperate, ignoring the more specific interface.

#### 5.4 Ghost interfaces

Summary of IKVM's nested helper types:

- IKVM types with a \_\_Helper class: java.lang.Comparable
- IKVM types with a \_\_Interface interface: java.io.Serializable, java.lang.Cloneable, java.lang.CharSequence

A ghost interface is a JDK interface for which no same-name interface but a struct exists in IKVM. The term refers to: j.l.CharSequence, j.l.Cloneable, and java.io.Serializable.

The transformations for java.io.Serializable are different from those for the other ghost interfaces, thus this section focuses only on j.l.CharSequence and j.l.Cloneable.

#### 5.4.1 Standalone type references to Cloneable and CharSequence

In general, standalone type references to Cloneable and CharSequence remain asis (and thus denote a struct type after translation) but in the following contexts a certain rewriting applies:

- implementing a ghost interface, rewrite as follows:
  - extends Cloneable
    - ightarrow extends java.lang.Cloneable.\_\_Interface
  - extends CharSequence

→ extends java.lang.CharSequence.\_\_Interface In this latter case, remember to implement toString() if not already overridden. Not necessary on JDK (as it is inherited from j.1.Object) but after translation System.Object will not provide an implementation. IKVM includes toString() among the methods to implement in java.lang.CharSequence.\_\_Interface

• instantiating an anonymous class, rewrite as follows:

```
    new Cloneable { ...}
    → new java.lang.Cloneable.__Interface { ...}
    new CharSequence { ...}
    → new java.lang.CharSequence.__Interface { ...}
```

• TODO Adding toString is done by the transforms for the String and Object contracts. Anyway, what should be done is: (a) YES, there's an override. In this case, add ToString that callSibling; (b) NO, there's no override: add just toString() whose body invokes the instancehelper\_toString(this)

The transformations above are achieved by:

```
case tpl @ Template(parents, self, body) if parents.exists(p => p.symbol == jlCharSequenceClass) =>
val newParents = transformTrees(parents)
val newSelf = transformValDef(self)
val newStats = transformStats(tpl.body, tpl.symbol)
val newParents2 = newParents map {
   case p if (p.symbol == jlCharSequenceClass) => jlCharSequenceSelector
   case p => p
}
treeCopy.Template(tpl, newParents2, newSelf, newStats)
```

#### 5.4.2 Static accesses

Ghost types correspond to JDK interfaces with no static fields (FYI: the IKVM version of j.1.CharSequence, a valuetype, does have static methods for example the == operator overload mentioned below).

#### 5.4.3 Instance method invocations

The JDK versions of Serializable and Cloneable define no methods of their own, so the issue of how to map calls to them does not arise. On the other hand, CharSequence has instance methods of its own, but textual occurrences of invocations can remain as-is, due to the views below (with them, beforetranslation invocations on CharSequence instance methods will find at runtime a conformant receiver).

```
// Scala.NET code to be compiled against IKVM
implicit def refToStructCharSequence
(i: java.lang.CharSequence.__Interface): java.lang.CharSequence = {
    val c : java.lang.CharSequence = new java.lang.CharSequence() // default init
    c.__<ref> = i
    c
    }
implicit def sstringToStructCharSequence
(s: System.String): java.lang.CharSequence = {
    val c : java.lang.CharSequence = new java.lang.CharSequence() // default init
    c.__<ref> = s
    c
    }
}
```

// Scala.NET code to be compiled against IKVM

```
implicit def refToStructCloneable
(i: java.lang.Cloneable.__Interface): java.lang.Cloneable = {
   val c : java.lang.Cloneable = new java.lang.Cloneable() // default init
   c.__<ref> = i
   c
  }
```

#### 5.4.4 == and !=

Please notice that == and != between two CharSequences should bind to the following methods. This is taken care of by TypeParser.

```
// C# code showing part of IKVM's java.lang.CharSequence API
public static bool operator ==(CharSequence sequence1, CharSequence sequence2)
{ return (sequence1.__<ref> == sequence2.__<ref>); }
public static bool operator !=(CharSequence sequence1, CharSequence sequence2)
{ return (sequence1.__<ref> != sequence2.__<ref>); }
```

#### 5.4.5 Type casts and checks

We're talking about: isInstanceOf[C], asInstanceOf[C], classOf[C]

The cases to consider when translating "arg.isInstanceOf[C]" and "arg.asInstanceOf[C]" for ghosts are:

```
1. C is ghost:
    arg.isInstanceOf[java.lang.CharSequence]
    → java.lang.CharSequence.IsInstanceOf(arg)
    arg.isInstanceOf[java.lang.Cloneable]
    → java.lang.Cloneable.IsInstanceOf(arg)
```

similarly for asInstanceOf.

2. C is array of ghost. Call the static IsInstanceArray(arg, rank) on the ghost's type.

TODO: what about asInstanceOf[array of ghost]

TODO In contrast, classOf [] should be left as-is (??) The transformations above are achieved by:

```
// rewrites isInstanceOf[CharSequence] and isInstanceOf[Cloneable]
case app @ TypeApply(fun, args) if (
    {
      val guard0 = (fun.symbol.overriddenSymbol(AnyClass) == Any_isInstanceOf)
      if (guard0 && (args.head.tpe != null)) {
        val typeArg = args.head.tpe.typeSymbol
        ghostClasses contains typeArg
    } else false
    }
    ) =>
    val typeArg = args.head.tpe.typeSymbol
```

```
if (typeArg == jlCharSequenceClass)
    instanceOfGhost(jlCharSequenceSelector, app)
else
    instanceOfGhost(jlCloneableSelector, app)
```

# 6 Exceptions

Before looking at the rewriting rules, let's review the context where those rules apply:

- All of j.1.Throwable, j.1.Exception, and j.1.Error "get mapped to" System.Exception (because there's a correspondence between j.1.Throwable and S.Exception methods, and because neither j.1.Exception nor j.1.Error add methods of their own).
- IKVM's j.l.Throwable is derived from System.Exception and thus does not conform to j.l.Object.

The detailed recipe appears in Secs. 6.1 to 6.3, the high-level view is:

- After translation, each catch clause declares an argument of (a subclass of) System.Exception. Depending on the before-translation type, the rewritten type will be:
  - System.Exception for j.l.Throwable, j.l.Exception, and j.l.Error, i.e., for Cases (1) and (2) below.
  - the same-name IKVM counterpart for all others, Case (3).
- In Case (1), catch Throwable, the "original catch block" may contain invocations to Throwable methods to be called through a System.Exception reference. ikvmc detours those invocations to instancehelpers in Throwable that check if the passed object subclasses Throwable and:
  - if so, (a) callvirt the method in question,
  - if not, calls either (b.1) the closest System.Exception equivalent; or (b.2) a static helper in IKVM's java.lang.Throwable.
- In Case (2), catch Exception or catch Error, a utility call is pre-pended to the output catch-body, to try to wrap the exception so that the wrapper conforms to the originally declared one (or rethrow it otherwise).
- In Case (3), the exception to catch is a proper subtype of Exception or Error. The rewriting is simpler because there's an IKVM counterpart for that type.

### 6.1 Case (1) Originally catch Throwable

The output catch clause looks as follows:

```
case exceptionArg : System.Exception =>
val exception = java.lang.Throwable.__<map>(exceptionArg, true).asInstanceOf[System.Exception]
... original catch block ...
/*- in this block there are before-translation invocations
        on j.l.Throwable methods that after-translation will go through
        a System.Exception receiver. */
```

## 6.2 Case (2) Originally catch Exception or catch Error

The output catch clause looks as follows:

### 6.3 Case (3) Otherwise

"Otherwise" means of course: originally a subclass of Exception or Error is caught.

```
case exception : <OriginalType> => // Bind remains unmodified
    java.lang.Throwable.__<map>(exception, true)
    ... original catch block ...
```

# 7 Etc

• diff the IKVM-ready source files with the original sources.

```
mapMethod(JOBJECT, "getClass" , jEmpty , MIKVMJLObject , "instancehelper_getClass", mObject1)
mapMethod(JOBJECT, nme.hashCode_ , jEmpty , MIKVMJLObject , "instancehelper_hashCode", mObject1)
mapMethod(JOBJECT, nme.equals__, jObject1, MIKVMJLObject , "instancehelper_equals" , mObject2)
mapMethod(JOBJECT, nme.clone_ , MOBJECT , "MemberwiseClone" )
                                                   , MOBJECT
mapMethod(JOBJECT, nme.toString_ , jEmpty , MIKVMJLObject , "instancehelper_toString", mObject1)
                                                                    , "Pulse"
mapMethod(JOBJECT, nme.notify_
                                                                                              , mObject1)
                                       , jEmpty , MMONITOR
                                                                       , "PulseAll"
mapMethod(JOBJECT, nme.notifyAll_, jEmpty , MMONITOR
                                                                                                         , mObject1)
                                    , jEmpty , MIKVMJLObject , "instancehelper_wait"
, jLong1 , MIKVMJLObject , "instancehelper_wait"
                                                                                                        , mObject1)
mapMethod(JOBJECT, nme.wait_
                                                                                                         , Array(MOBJECT, MLONG))
mapMethod(JOBJECT, nme.wait_
                                          , Array(JLONG.tpe, JINT.tpe), MIKVMJLObject , "instancehelper_wait"
// mapMethod(JOBJECT, nme.wait_
                                                                                                                                  , Arı
mapMethod(JOBJECT, nme.finalize_
                                                                       , "Finalize")
                                                   , MOBJECT
```

# 8 Appendix

## 8.1 Using another pretty-printer

Pretty-printing as implemented in jdk2ikvm does not keep the original layout (we use the term *unparsing* to make clear this behavior). In oder to preserve layout another pretty-printer should be integrated, as provided by Mirko Stocker's refactoring library, http://scala-refactoring.org/. In this case the resulting plugin will depend on refactoring.jar, a fact that has to be taken care of when running scalac: -classpath indicates where to look for classfiles needed by scalac to compile the input files, not the classfiles needed by some plugin. Solution alternatives are discussed at http://www.scala-lang.org/node/6664. To those alternative, I'll add yet another:

-Xbootclasspath/a:...;Z:\scalaproj\sn4\myplugins\scala-refactoring-lib.jar

Another straightforward way to make sure the plugin can access the refactoring classfiles is to make them part of the plugin itself.

TODO: -Yrangepos also validates trees, which fails on scala.\* definitions (as in the bootstrapping case ...). Details at http://www.scala-lang.org/node/ 2755

The command-line option -Yrangepos makes sure that scalac instantiates an nsc.interactive.Global:

val compiler = if (command.settings.Yrangepos.value) new interactive.Global(command.settings, reporter)
else new Global(command.settings, reporter)

The refactoring library sometimes requires the following functionality from nsc.interactive.Global:

def compilationUnitOfFile(f: AbstractFile): Option[global.CompilationUnit]

scalac uses the interactive compiler when invoked with -Yrangepos, otherwise an
nsc.Global will be handed to compiler plugins such as jdk2ikvm. The scala-refactoring
library supports both usage scenarios, by encapsulating in trait CompilerAccess
the above dependency:

```
trait CompilerAccess {
   val global: tools.nsc.Global
   def compilationUnitOfFile(f: AbstractFile): Option[global.CompilationUnit]
}
```

#### 8.2 Lessons learnt from the previous prototype

Our previous prototype (in the form of a patched compiler) applied the *JDK to IKVM* conversion on the fly, emitting .NET assemblies as output. That division of labor turned out to be inflexible because:

- 1. It forced the developer to prepare programs with JDK-like syntax when targeting .NET, moreover forcing to keep a mental model of the mappings that the patched compiler performed.
  - For example, whenever a catch block expected a java.lang.NullPointerException, it could happen that a System.NullReferenceException was thrown by external code being invoked. Question: do you know, *from look-ing at your source files*, if the patched compiler emitted code to also catch NullReferenceException?
- 2. As a .NET compiler, it required programs always to be linked against IKVM's library (which is not the usual case unless migrating platforms). The IKVM library in turn was necessary when implementing all of the JDK-to-IKVM conversion recipe, without which bootstrapping can't happen.
- 3. Running in forMSIL mode, it accepted programs that would have been rejected in both (a) forJVM mode and (b) "forMSIL minus patches" mode (precisely the "mode" in which .NET compilers run).
  - For example, any program that mixes API calls from JDK and .NET would be valid as per the patched compiler (which runs in forMSIL mode),
  - in particular programs calling the overrides added automatically to support *extra interfaces* (remember, the patched compiler implements the full JDK to IKVM conversion recipe). After offloading to jdk2ikvm the conversion task, the Scala.NET compiler does not add any overrides on its own, and program sources tell again all there is to know about the emitted program.
- 4. Intermingling the functionality of the JVM, .NET, and IKVM backends *all in one codebase* increased complexity big time.
- 5. Last but not least, the jdk2ikvm tool is useful on its own.